### STATE OF MICHIGAN MICHIGAN ADMINISTRATIVE HEARING SYSTEM ADMINISTRATIVE HEARINGS FOR THE DEPARTMENT OF HUMAN SERVICES

### IN THE MATTER OF:



Reg. No.: Issue No.: Case No.: Hearing Date: County: 201341789 2009

September 11, 2013 Lapeer County DHS

ADMINISTRATIVE LAW JUDGE: Janice G. Spodarek

## HEARING DECISION

This matter is before the undersigned Administ rative Law Judge pursuant to MCL 400.9; and MCL 400.37 upon Claimant's request for a hearing. After due notice, an in person hearing was held. Participant s on behalf of Claimant included Participants on behalf of Department of Human Services (DHS) included Ms.

### <u>ISSUE</u>

Did the Department of Human Services (DHS) properly deny Claimant 's Medic al Assistance (MA) application?

# FINDINGS OF FACT

The Administrative Law Judge, based upon t he competent, material and substantial evidence on the whole record, finds as material fact:

- 1. On 8-23-12, Claimant applied for MA with the Michigan Department of Human Services (DHS).
- 2. Claimant did not apply for retro MA.
- 3. On 1-8-13, the MRT denied.
- 4. On 1-22-13, the DHS issued notice.
- 5. On 4-16-13, Claimant filed a hearing request.
- 6. On 7-9-13, the Stat e Hearing Review T eam (SHRT) denied Claimant. Pursuant to the Claimant's request to hold the record open for the submission of new and additional medical documentation, on 11-13-13 SHRT once again denied Claimant.

- 7. Claimant has an SSI application pending with t he Soc ial Security Administration (SSA).
- 8. Claimant is a 48-year-old female , standing 5'5" tall and weighing 180 pounds. Claimant's body mass index is 30, classifying Claimant as obese under the medical index. Claimant Exhibit A.5.
- 9. Claimant testified that she does not have an alcohol/drug abuse problem or history. Exhibit 2.81 is a ps ychological evaluation indic ating in the Summary that Claimant's problem s have been "...exace rbated by ...alcohol use...." Claimant smokes ev ery day. Claimant has a nicotine addiction.
- 10. Claimant does not have a driver's license due to prior DUIs.
- 11. Claimant has a HS diploma.
- 12. As of the date of app lication, as well as by se lf-testimony, Claimant has been work ing "until today" 30 hours per month in home help care. Claimant's work history is semi-skilled.
- 13. Claimant alleges disability on t he basis of multiple impairments and symptoms, including heart attack, DDD, and depression. Exhibit 2.3. Other medical documents indicate nicoti ne addiction, obesity, high blood pressure, epigastric issues, esophag eal reflux, gall bladder issues, shortness of breath, wheezing, hypertension.
- 15. The 11-13-13 subsequent SHRT decision is adopted and inc orporated by reference herein.
- 16. Claimant is status post drug-eluting stent placement to the left anteriod descending with 80% occlusion on 8-3-12. Physical exam on 12-11-12 she denied chest pains or shortness of breath. Lungs clear and heart within normal lim its. No lower extremity edema. Electrocardiogram normal. Normal chest x-ray.
- 17. A 12-11-12 mental status exam noted alert and fully oriented. No indication that Claimant could not work.
- 18. A 9-28-12 New York Heart Association Classification classifies Claimant as Class I: cardiac dis ease but without resulting limitation of physical activity. Ordinary physical act ivity does not cause undue fatigue, palpitation, dyspnea or angina pain. Class A: patients with cardiac dis ease whos e ordinary physical activity need not be restricted. Exhibit 2.17

- 19. On 6-12-13 Claimant was seen with atypical ches t pain, epigastric pain radiating to the right upper quadr ant and left shoulder. Gallbladder disease was suspected and Claimant was pain free at discharge.
- 20. On 6-12-13 still reporting chest pain in the morning or when gets out of the shower. As sessment indicates having epigastric pain and being checked for gall bladder disease. Cardiac wise stable.
- 21. Claimant has a history of a back injury at which point she ceased working but collected unemployment for 2 years.

## CONCLUSIONS OF LAW

The Medical Assistance (MA) program is established by Title XIX of the Social Security Act and is implemented by T itle 42 of the C ode of Federal Regulations (CFR). The Department of Human Services (DHS or department) administers the MA program pursuant to MCL 400.10, *et seq.*, and MCL 400.105. Department policies are found in the Program Administrative Manual (PAM), the Program Eligibility Manual (PEM) and the Program Reference Manual (PRM).

In order to receive M A benef its based upon disabilit y or blindness, Claimant must be disabled or blind as defined in T itle XVI of the Social Security Act (20 CFR 416.901) . DHS, being authorized to make such disabilit y determinations, utilizes the SSI definition of disability when making medical decisions on MA applications. MA-P (disability), also is known as Medicaid, whic h is a program designated to help public assistanc e Claimants pay their medical expenses. Mich igan administers the federal Medica id program. In assessing eligibility, Michigan utilizes the federal regulations.

Relevant federal guidelines provide in pertinent part:

"Disability" is:

...the inability to do any substantial gainful activity by reason of any medically deter minable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months.... 20 CFR 416.905.

The federal regulations require that severa I considerat ions be analyzed in sequential order:

...We follow a set order to determine whether you are disabled. We review any current work activity, the severity of your impairment(s), your residu al functional capacity, your past work, and your age, educat ion and work experience. If we can find that you are disabled or not disabled at any point

in the review, we do not review your claim further.... 20 CFR 416.920.

The regulations require that if disability can be ruled out at any step, analysis of the next step is not required. These steps are:

- 1. If you are working and the work you are doing is substantial gainful act ivity, we will find that you are not disabled regardless of your medical condition or your age, education, and work experience. 20 CFR 416.920(b). If no, the analysis continues to Step 2.
- 2. Does the client have a severe impairment that has lasted or is expected to last 12 months or more or result in death? If no, the client is ineligible for MA. If yes, the analysis continues to Step 3. 20 CFR 416.909(c).
- Does the impairment appear on a special Listing of Impairments or are the clie nt's symptoms, signs, and laboratory findings at least equivalent in severity to the set of medical findings s pecified for the listed impairment that meets the duration require ment? If no, the analysis continues to Step 4. If yes, MA is approved. 20 CFR 416.920(d).
- 4. Can the client do the forme r work that he/she performed within the last 15 years? If yes, t he client is ineligible for MA. If no, the analys is c ontinues to Step 5. Sections 200.00-204.00(f)?
- 5. Does the client have the Residual Functional Capacity (RFC) to perform other work according to the guidelines set forth at 20 CFR 404, Subpart P, Appendix 2, Sections 200.00-204.00? This step considers the residual functional capacity, age, education, and past work experience t o see if the client can do other work. If yes, the analysis ends and the client is ineligible for MA. If no, MA is approved. 20 CFR 416.920(g).

At application Claimant has the burden of proof pursuant to:

...You must provide medical evidence showing that you have an impairment(s) and how severe it is during the time you say that you are disabled. 20 CFR 416.912(c).

Federal regulations are very specific regarding the type of medical evidence required by Claimant to establish statutory disability. The regulations essentially require laboratory

or clinical medical reports that corroborate Claim ant's claims or Claimant's physicians' statements regarding disability. These regulations state in part:

... Medical reports should include --

- (1) Medical history.
- (2) Clinical findings (suc h as the results of physical or mental status examinations);
- (3) Laboratory findings (such as sure, X-rays);
- (4) Diagnosis (statement of di sease or injury based on its signs and symptoms).... 20 CFR 416.913(b).

...Statements about your pain or other symptoms will not alone establish that you are di sabled; there must be medical signs and laboratory findings wh ich show that you have a medical impairment.... 20 CFR 416.929(a).

...The me dical evidence...mus t be complete and detailed enough to allow us to make a determination about whether you are disabled or blind. 20 CFR 416.913(d).

Medical findings cons ist of symptoms, signs, and laboratory findings:

- (a) **Sy mptoms** are your own description of your physical or mental impairment. Your statements alone are not enough to establish that ther e is a physic al or ment al impairment.
- (b) Signs are anatomical, physiologi cal, or psychological abnormalities which c an be obs erved, apart from your statements (symptoms). Signs must be shown by medically acceptable clinical diagnostic techniques. Psychiatric signs are medically demonstrable phenomena which indicate specific psychological abnormalities e.g., abnormalit ies of behavior, mood, thought, memory, orientation, development, or perception. They must also be shown by observable facts that can be medically described and evaluated.
- (c) Laboratory findings are anatomical, phy siological, or psychological phenomena whic h can be s hown by t he use of a medically acceptable laboratory diagnostic techniques. Some of thes e diagnostic techniques

include chemical tes ts, el ectrophysiological studies (electrocardiogram, elec troencephalogram, etc.), roentgenological studies (X-rays), and psychological tests. 20 CFR 416.928.

It must allow us to determine ---

- The nature and limiting effects of your impairment(s) for any period in question;
- (2) The probable duration of your impairment; and
- (3) Your residual functional capacity to do work-related physical and mental activities. 20 CFR 416.913(d).

Information from other sour ces may also help us t o understand how your impairment(s) affects your ability to work. 20 CFR 416.913(e).

...You can only be found disabled if you are unable to do any substantial gainful activity by reason of any medically determinable phys ical or ment al impairment which c an be expected to result in death, or which has lasted or c an be expected to last for a continu ous period of not less t han 12 months. See 20 CFR 416.905. Y our impairment must result from anatomical, physiological, or psychological abnormalities which are demonstrable by medica Ily acceptable clinical and laboratory diagnostic techniques.... 20 CFR 416.927(a)(1).

It is noted that Congr ess removed obes ity from the Listing of Impairments shortly after the removal of drug addition and alc oholism. This removal reflects the view that there is a strong behavioral component to obesity. Thus, obesity in-and-of itself is not sufficient to show statutory disability.

Applying the sequential analysis herein, Claimant is not inelig ible at the first step as Claimant is not currently working. 20 CFR 416.920(b). The analysis continues.

The second step of the analysis looks at a two-fold assessment of duration and severity. 20 CFR 416.920(c). This second step is a *de minimus* standard. Ruling any ambiguities in Claimant's favor, this Administrative Law Judge (ALJ) finds that Claimant meets both. The analysis continues.

The third step of the analysis looks at whether an individual meets or equals one of the Listings of Impairments. 20 CFR 416. 920(d). C laimant does not. The analy sis continues.

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The fourth step of the ana lysis looks at t he ab ility of the applicant to return to pas t relevant work. This step ex amines the physical and mental demands of the work done by Claimant in the past. 20 CFR 416.920(f).

In this case, this ALJ finds that Claimant can not return to past relevant work on the basis of the medical evidence. The analysis continues.

The fifth and final step of the analysis applies the biographical data of the applicant to the Medical Vocational Grids to determine the residual functional capacity of the applicant to do other work. 20 CFR 416.920(g).

After a careful review of the credible and s ubstantial evidence on the whole record, this Administrative Law Judge concur s with SHRT in finding Claimant not disabled pursuant to medical vocation grid rule 202.21 as a guide.

| In reaching this conclusion, it is noted th | at Claimant's complaints, generally, are not |
|---------------------------------------------|----------------------------------------------|
| supported by the great bulk of medical      | evidence pursuant to the issues and          |
| considerations found at 20 CFR 416.913.     |                                              |

It is also noted that Claimant has many complaints regarding breathing being seen for 'chest pain." However, Claimant's medical evidence does not support finding that Claimant has heart disease that interferes with her ability to engage in work and work-like settings. Claimant is being worked up for gall bladder disease and esophageal reflux.

With regarding to Claimant's o besity and nicotine addition, these issues are strongly driven by behavior akin to the issues and consi derations in the following case law: It is noted that claimant's smoking and/or obesity are the "indi vidual responsibility" types of behaviors reflected in the *SIAS v Secretary of Health and Human Services*, 861 F2d 475 (6th cir 1988) decision. In *SIAS*, the claimant was an obese, heavy smoker who argued that he could not afford support hose prescribed by his doctor for acute thrombophlebitis. The doctor also advised claimant to reduce his body weight. The court said in part:

...The claimant's style of life is not consist ent with that of a person who suffers from intrac table pain or who believes his condition could dev elop into a very quick life-threatening situation. The claimant admitted to the ALJ he was at least 40 pounds overweight; ignoring the instructions of his physician , he has not lost weight.

...The Soc ial Securit y Act did not repeal the princ iple of individual responsibility. Each of us faces myriads of choices in life, and the choices we make , whether we like it or not, have cons equences. If the claimant in this case chooses to drive himself to an early grave, that is his privilege—but if he is not truly disabled, he has no right to require those who pay Social Security taxes to help und erwrite the cost of his ride. *SIAS*, supra, p. 481.

In *SIAS*, the claimant was found not truly disabled because the secretary disregarded the consequences resulting from the claimant's unhealthy habits and li festyles—including the failure to stop smoking. *AWAD v Secretary of Health and Human Services*, 734 F2d 288, 289-90 (6<sup>th</sup> cir 1984).

Statutory disability does not recognize many behav iors as statutorily disabling wher e behavioral driven treatment will remove or reduce the severity or complaint. Among others, this includes complaint s such as drug and alcohol addict ion, obesity, and smoking. Issues related to these problems often result from life style choices. In addition, many hear t problems, type 2 diabetes, ne uropathy, and high c holesterol have been significantly correlated with many life style behaviors. In such instances, the symptoms and problem are treatable--obe sity is treatable with weig ht loss, diet and exercise alcoholism and drug addiction with abstinence; lung/breathing related medical issues are treatable with cessation from smoking. As with the congressional mandate denying statutory disability for alc ohol and drug addiction, indiv idual behaviors that drive medically related complaints and symptoms ar e not considered under the federal social security law as "truly disabling" see SIAS. In most instances, st andard medical protocol is to instruct the individual to s top consum ing alc ohol, stop the drug addiction, stop smoking, and to lose weight. In fact, 20 CFR 416.930 requires a finding of not disabled where an individual fails to follow the recommended or prescribed treatment program.

Claimant has the burden of proof from Step 1 to Step 4. 20CFR 416.912(c). Federal and state law is guite specific with regards to the type of evidence sufficient to show statutory disability. 20 CFR 4 16.913. This authority requires sufficient medical evidenc e to substantiate and corroborate stat utory disability as it is def ined under federal and stat e law. 20 CFR 416.913(b), .913(d), and .913(e); BEM 260. T hese medical findings must be corroborated by medical tests, labs, an d other corroborating m edical evidence that substantiates disability. 20 CFR 416.927, . 928. Moreover, complaints and symptoms of pain must be corroborated pursuant to 20 CFR 416.929(a), .929(c)(4), and .945(e) Claimant's medical evidence in this case. tak en as a whole, s imply does not rise t o statutory disability by m eeting these federal and state requirements. 20 CFR 416.920; BEM 260, 261.

Many of Claimant's compla ints and symptoms with regard to shortness of breath, wheezing, esophageal reflux, hyperlipidemia, high cholesteral, hypertension are highly correlated in the medical literature with smoking and obesity.

Statutory disability is not shown.

# **DECISION AND ORDER**

The Administrative Law Judge, based upon t he above findings of fact and conclusion s of law, decides that the department's actions were correct.

Accordingly, the department's determination in this matter is hereby **UPHELD**.

Janice

<u>/s/</u>

G. Spodarek Administrative Law Judge for Maura D. Corrigan, Director Department of Human Services

Date Signed: December 4, 2013

Date Mailed: December 4, 2013

**NOTICE:** Michigan Administrative Hearing Syst em (MAHS) may order a rehearing or reconsideration on either its own motion or at the request of a par ty within 30 days of the mailing date of this Dec ision and Order . MAHS will not order a rehearing or reconsideration on the Department's mo tion where the final decis ion cannot be implemented within 90 days of the filing of the original request. (60 days for FAP cases)

The Claimant may appeal the Decision and Order to Circuit Court within 30 days of the receipt of the Decision and Order or, if a ti mely request for rehearing was made, within 30 days of the receipt date of the rehearing decision.

Claimant may request a rehearing or reconsideration for the following reasons:

- A rehearing <u>MAY</u> be granted if there is newly discovered evid ence that could affect the outcome of the original hearing decision.
- A reconsideration **MAY** be granted for any of the following reasons:
  - misapplication of manual policy or law in the hearing decision,
  - typographical errors, mathematical errors, or other obvious errors in the hearing decision that affect the substantial rights of the claimant,
  - failure of the ALJ to address other relevant issues in the hearing decision.

Request must be submitted through the local DHS office or directly to MAHS by mail at Michigan Administrative Hearings

Recons ideration/Rehearing Request

P. O. Box 30639

Lansing, Michigan 48909-07322

