STATE OF MICHIGAN MICHIGAN ADMINISTRATIVE HEARING SYSTEM ADMINISTRATIVE HEARINGS FOR THE DEPARTMENT OF HUMAN SERVICES

IN THE MATTER OF:



Reg. No:2013-10624Issue No:2009Case No:1000Hearing Date:March 6, 2013St. Clair County DHS

ADMINISTRATIVE LAW JUDGE: Suzanne L. Morris

HEARING DECISION

This matter is before the undersigned Administrative Law Judge pursuant to MCL 400.9; and MCL 400.37 upon claimant's request for a hearing. After due notice, a telephone hearing was held on March 6, 2 013. The claimant appeared and provided testimony. The department witness was **built the second second**

<u>ISSUE</u>

Did the Department of Human Services Assistance (MA) application?

(DHS) properly deny claimant's Medica I

FINDINGS OF FACT

The Administrative Law Judge, based upon the competent, material and substantial evidence on the whole record, finds as material fact:

- 1. On August 22, 2012, clai mant applied for MA and SDA with the Michig an Department of Human Services (DHS).
- 2. Claimant did not apply for retro MA.
- 3. On October 17, 2012, the MRT denied.
- 4. On October 22, 2012, the DHS issued notice.
- 5. On November 8, 2012, claimant filed a hearing request.
- 6. Claimant testified at the administrative hearing that she ha s an SSI application pending with the Social Security Administration (SSA).

- 7. On Januar y 2, 2013, the State H earing Review Team (SHRT) denied claimant. Pursuant t o the claimant's request to hold t he record open for the submission of new and additional medical documentation, on May 17 2013 SHRT once again denied claimant.
- 8. As of the date of hear ing, claimant was a 47-year-old female standing 4'10" tall and weighing 100 pounds. Claimant has a high school education and a CNA certification that has expired.
- 9. Claimant testified t hat she smokes about 1 pack of cigarettes per day, drinks alcohol very rarely and does not use illegal drugs.
- 10. Claimant has a driver's license and can drive an automobile.
- 11. Claimant is not current ly working. Claima nt last worked in De cember, 2011 in a factory for a temporary service for about two months. Claimant's only history of substantial ga inful employ ment was providing home health care to the elderly and wo rking in a factory, inspec ting cab tops and doors.
- 12. Claimant alleges disability on the basis of Chronic O bstructive Pulmonary Disorder (COPD), thyroid disor der, possible uterine cancer, headaches, heart problems, and carpal tunnel syndrome.
- 13. An August 14, 2012 office visit found claimant complain ing of right arm and back pain. There were no gross neurological deficits noted. Claimant was well nourished. She had no edema. Diagnoses included palpitations, anxiety, right shoulder pain, irregular menses, coronary artery disease and tobacco addiction.
- 14. On August 24, 2012, the claimant had an ultrasound of her thyroid, which found heterogeneous pattern to the thyroid, without discrete nodule, most typical of thyroiditis.
- 15. An August 27, 2012 office visit show s the claimant reported a history of open heart surgery in 1971 and two myocardial infarctions in 2010 and 2011. Claimant's lungs were clear to percussion and auscultation. Her heart revealed regular rate and rhythm with no murmurs, gallops or rubs. Her abdomen was soft without tenderness and non-distended. There was no organomegaly and no mass es. She had large anus hemor rhoids and rectal bleeding.
- 16. An August 28, 2012 CT scan of the neck found adenoid hypertrophy, chronic dis ease of the left maxillar y sinus and probably chronic right mastoiditis with fluid.
- 17. On September 21, 2012, claimant underwent an internal and external hemorrhoidectomy.

- 18. The claimant was s een on Dece mber 4, 2012 for follow-up of the hemorrhoidectomy. The claimant reported no pain and she was not using pain medications. Her activity level was back to pre-operative levels.
- 19. In January, 2013, the claimant was treated for a drug overdose and attempted suicide. She was diagnosed with major depre ssive disorder and voluntarily admitted to the hospital.

CONCLUSIONS OF LAW

The Medical Assistance (MA) program is estab lished by Title XIX of the Social Sec urity Act and is implemented by T itle 42 of the C ode of Federal Regulations (CFR). The Department of Human Services (DHS or department) administers the MA program pursuant to MCL 400.10, *et seq.*, and MCL 400.105. Department policies are found in the Bridges Administrative Manual (BAM), the Bridges Eligibility Manual (BEM) and the Program Reference Manual (RFT).

In order to receive MA benefits based upon disa bility or blindness, claimant must be disabled or blind as defined in T itle XVI of the Social Security Act (20 CFR 416.901). DHS, being authorized to make such dis ability determinations, utilizes the SSI definition of disability when making medical decisions on MA applications. MA-P (disability), also is known as Medicaid, which is a program designated to help public assistance claimants pay their medical expenses. Mi chigan administers the federal Medicaid program. In assessing eligibility, Michigan utilizes the federal regulations.

Relevant federal guidelines provide in pertinent part:

"Disability" is:

...the inability to do any substant ial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months.... 20 CFR 416.905.

The federal regulations require t hat several considerations be analyzed in s equential order:

...We follow a set order to determine whether y ou are disabled. We review any current work activity, the severity of your impairment(s), your resi dual functional capacity, your past work, and your age, educati on and work experience. If we can find that you are disabled or not disabled at any point in the review, we do not review your claim further.... 20 CFR 416.920.

The regulations require that if disability can be ruled out at any step, analysis of the next step is not required. These steps are:

- 1. If you are working and the work you are doing is substantial gainful activity, we will find that you are not dis abled regardless of your medical condition or your age, education, and work experienc e. 20 CFR 416.920(b). If no, the analysis continues to Step 2.
- 2. Does the client have a severe impairment that has lasted or is expected to last 12 months or more or result in deat h? If no, the client is ineligible for MA. If yes, the analysis continues to Step 3. 20 CFR 416.909(c).
- Does the impairment appear on a special Listing of Impairments or are the clie nt's symptoms, signs, and laboratory findings at least equiv alent in severity to the set of medical findings specified for the listed im pairment that meets the duration requirement? If no, the analysis continues to Step 4. If yes, MA is approved. 20 CFR 416.920(d).
- 4. Can the client do the former work that he/she performed within the last 15 years? If yes, the client is ineligible for MA. If no, the analys is continues to Step 5. Sections 200.00-204.00(f)?
- 5. Does the client hav e the Residual Func tional Capacity (RFC) to perform other work according to the guidelines set forth at 20 CFR 404, Subpart P, Appendix 2, Sections 200.00-204.00? This step consider s the residual functional capacity, age, education, and past work experience to see if the client can do other work. If yes, the analysis ends and the client is ineligible for MA. If no, MA is a pproved. 20 CFR 416.920(g).

At application claimant has the burden of proof pursuant to:

...You must provide medical evidence showing that you have an impairment(s) and how severe it is during the time you say that you are disabled. 20 CFR 416.912(c).

Federal regulations are very specific regarding the type of medical evidence required by claimant to establish statutory disability. The regulations essentially require laboratory or clinical medical reports that corroborate claimant's claims or claimant's physicians' statements regarding disability. These regulations state in part:

...Medical reports should include --

(1) Medical history.

- (2) Clinical findings (such as the results of physical or mental status examinations);
- (3) Laboratory findings (such as sure, X-rays);
- (4) Diagnosis (statement of di sease or injury based on its signs and symptoms).... 20 CFR 416.913(b).

...Statements about your pain or other symptoms will not alone establish that you are disabled; there must be medical signs and laboratory findings which s how that you have a medical impairment.... 20 CFR 416.929(a) Information from other sour ces may also help us to understand how y our impairment(s) affects your ability to work. 20 CFR 416.913(e).

The person claiming a physica I or mental disability has the burden to establish it through the use of competent medical evidenc e from qua lified medica I sources. Claimant's impairment must result from anatomical, physiol ogical, or psychological abnormalities which can be shown by medically ac ceptable clinical and laboratory diagnostic techniques. A physical or mental impairment must be established by medical evidence consisting of signs, symptoms, and laboratory findings, not only claimant's statement of symptoms. 20 CFR 416.908; 20 CFR 416.927. Proof must be in the form of medical evidence e showing that the claimant has an impairment and the nature and extent of its severity. 20 CFR 416.912. Information must be sufficient to enable a determination as to the nature and limiting effects of the impairment for the period in question, the probable duration of the impairment and the residual functional capacity to do work-related physical and mental activities. 20 CFR 416.913.

- (a) **Sy mptoms** are your own description of your physical or mental impairment. Y our statements alone are not enough to establish t hat there is a physic al or mental impairment.
- (b) Signs are anatomical, physiological, or psychological abnormalities which can be obs erved, apart from your statements (symptoms). Si gns must be shown by medically acceptable clinic al diagnostic t echniques. Psychiatric signs are medically demonstrable phenomena which indic ate s pecific ps ychological abnormalities e.g., abnormalities of behavior, mood, thought, memory, orientat ion, development, or perception. They must al so be shown by observable facts that can be medically described and evaluated.
- (c) **Laboratory findings** are anatomical, phy siological, or psychological phenomena which can be s hown by the

use of a medically accept able laboratory diagnostic techniques. Some of these diagnostic techniques include chemical tes ts, el ectrophysiological studies (electrocardiogram, electroencephalogram, etc.), roentgenological studies (X -rays), and psychologic al tests. 20 CFR 416.928.

Medical evidence may contain medical opinions. Medical opinions are statements from physicians and psychologists or other a cceptable medical sources that reflect judgments about the nature and severity of the impairment(s), including your symptoms, diagnosis and prognosis, what an indiv idual can do des pite impairment(s), and the physical or mental restrictions. 20 CFR 416.927(a)(2).

All of the evidence e relevant to the claim, including m edical opinions, is rev iewed and findings are made. 20 CFR 416 .927(c). A statement by a medical source finding that an individual is "disabled" or "unable to work" does not mean that disability exists for the purposes of the program. 20 CFR 416.927(e). Statemen ts about pain or other symptoms do not alo ne establis h disab ility. Similarly, conclusory statements by a physician or mental health prof essional that an individual is disabled or blind, absent supporting medical evidence, is insufficient to establish disabilit y. 20 CFR 416.927. There must be medical signs and laborat ory findings which demonstrate a medical impairment.... 20 CFR 416.929(a).

The law does not require an applicant to be completely symptom free before a finding of lack of disability can be rendered. In fact, if an applicant's symptoms can be managed to the point where s ubstantial gainful activity can be achieved, a finding of not disabled must be rendered.

The Administrative Law Judge is responsible for making the determination or decision about whether the statutory definition of disability is met. The Administrative Law Judge reviews all medical findings and other ev idence that support a medical source's statement of disability.... 20 CFR 416.927(e).

In determining disability under the law, the ability to work is measured. An individual's functional capacity for doing bas ic work activities is evaluated. If an individual has the ability to perform basic work activities with out significant limitations, he or she is not considered disabled. 20 CFR 416.994(b)(1)(iv).

Basic work activities are the abilities and aptitudes necessary to do most jobs. Examples of these include --

- (1) Physical functions such as walk ing, standing, sitting, lifting, pushing, pulling, reaching, carrying, or handling;
- (2) Capacities for seeing, hearing, and speaking;

- (3) Understanding, carrying out, and remembering simple instructions;
- (4) Use of judgment;
- (5) Responding appropriately to supervision, co-workers and usual work situations; and
- (6) Dealing with changes in a routine work setting. 20 CFR 416.921(b).

For mental disorders, severity is assessed in terms of the functional limitations imposed by the impairment. Functional limitations ar e assessed using the criteria in paragraph (B) of the listings for mental di sorders (descriptions of restrict ions of activities of daily living, social functioning; c oncentration, persistence, or pace; and ability to tolerat e increased mental demands associated wit h competitive work).... 20 CFR, Part 404, ndividual's pertinent symptoms, signs an d Subpart P, App. 1, 12.00(C). First, an i laboratory findings are evaluated to determine whether a medically determinable mental impairment exists. 20 CFR 416.920a(b)(1). When a medically determinable mental impairment is established, the symptoms, signs and laboratory findings that substantiate the impairment are documented to include the individual's significant history, laboratory findings, and functional limita tions. 20 CFR 416.920a(e)(2). Functional limit ations are assessed based upon the extent to whic h the impairment(s) interferes with an individual's ability to function indep endently, appropriately, effectively and on a sustained basis. 20 CFR 416.920(a)(2). Chronic mental disorders, structured settings, medication and other treatment, and the effect on the overall degree of functionality are considered. 20 CFR 416.920a(c)(1). In addition, four broad functional areas (activities of daily living; social f unctioning; concentration, persistence or pace; and episodes of decompensation) are considered when determining and individual's degree of functional limitation. 20 CFR 416.920a(c)(4).

Applying the sequential analysis her ein, claimant is not inelig ible at the first step as claimant is not currently working. 20 CFR 416.920(b). The analysis continues.

The second step of the analysis looks at a two-fold assessment of duration and severity. 20 CFR 416.920(c). This second step is a *de min imus* standard. Ruling a ny ambiguities in claimant's favor, this Adm inistrative Law Judge (ALJ) finds that claimant meets both. The analysis continues.

The third step of the analysis looks at whet her an individual meets or equals one of the Listings of Impairments. 20 CFR 416.920(d). Claimant does not. The analys is continues.

Before considering step four of the sequential evaluation process, the Administrative Law Judge must first determine the claimant's residual functional capacity. 20 CFR 404.1520(e) and 416.920(e). An individual's residual functional capacity is his/her ability to do physical and mental work activities on a sustained basis despite limitations from his/her impairments. In making this finding, all of the claimant's impairments, including impairments that are not severe, must be considered. 20 CFR 404.1520(e), 404.1545, 416.920(e), and 416.945; SSR 96-8.

Claimant's complaints and allegations concerning impairments and limitations, when considered in light of all objective medical evidence, as well as the record as a whole, reflect an individual who has the physical and mental capacity to engage in light work activities on a regular and continuing basis.

The claimant's medical evidence contains no diagnoses of headaches, COPD, uterine cancer, or carpal tunnel syndrome. Claimant does report a history of open heart surgery in 1971 and two myocardial infarctions in 2010 and 2011, but no medical documentation has been provided of these. On examination, her heart revealed regular rate and rhythm, with no murmurs, gallops, or rubs. The medical records are absent of any current heart problems. The claimant is being treated for hypothyroidism, but there is no evidence that this is not controlled through the claimant's medication regimen. The claimant was hospitalized for major depressive disorder due to a suicide attempt, but the claimant did not include a mental condition on her application. However, even taking into account claimant's reported heart condition, hypothyroidism and depression, the claimant would be capable of light work.

Next, the Administrative La w Judge must determine at step four whether the claimant has the residual functional capacity to perform the requirements of his/her past relevant work. 20 CFR 404.1520(f) and 416.920(f). The term past relevant work means work performed (either as the claimant actually performed it or as it is generally performed in the national economy) within the last 15 years or 15 years prior to the date that disability must be established. In addition, the wo rk must have lasted long enough for the claimant to learn to do the job and have been SGA. 20 CF R 404.1560(b), 404.1565, 416.960(b), and 416.965. If the claimant has t he r esidual functional c apacity to do his/her past relevant work, the claimant is not disabled. If the cl aimant is unable to do any past relevant work or does not have any past relevant work, the analysis proceeds to the fifth and last step.

In this case, this ALJ finds that claimant cannot return to past relevant work on the basis of the medical evidence. The claimant's pr evious relevant work that was substantia I gainful employment was medium in exertional level. The analysis continues.

At the last step of the sequential evaluation process, the Administrative Law Judge must determine whether the claimant is able to do any other work considering his/her residual functional capacity, age, educ ation, and work experience. 20 CFR 404.1520(g) and 416.920(g).

Claimant has submitted insufficient objective medical evidence that she lacked the residual functional capacity to perform light work if demanded of her. Therefore, this Administrative Law Judge finds t hat the objective medical evidence on the record does not establish that claimant had no residual functional capacity to perform other work. Claimant is disqualified from receiving disability at Step 5 based upon the fact that she has not establish hed by objective medical evidence that she could not perform at least light work. Under the Medical- Vocational guidelines, a younger individual (age 47) with

a high school education or more and an unskilled or no work history who can perform at least light work is not considered disabled pursuant to Medical-Vocational Rule 202.20.

The 6th Circuit has held that subjective complaints are inadequate to establish disability when the objective evidence fails to establish the existence of severity of the alle ged pain. *McCormick v Secretary of Health and Human Services*, 861 F2d 998, 1003 (6th cir 1988).

As noted above, claimant has the burden of proof pur suant to 20 CFR 416.912(c). Federal and state law is quite specific with r egards to the type of evidenc e sufficient to show statutory disability. 20 CFR 416.913. This authority requires sufficient medical evidence to substantiate and c orroborate stat utory disability a s it is defined under federal and state law. 20 CFR 416.913(b), .913(d), and .913(e); BEM 260. Thes e medical findings must be c orroborated by m edical tests, labs, and other c orroborating medical evidence that substantiates di sability. 20 CFR 416. 927, .928. Moreover, complaints and sym ptoms of pain must be corroborated pursuant to 20 CFR 416.929(a), .929(c)(4), and .945(e). Claimant's medical evidence in this ca se, taken a s a whole, simply does not rise to statutory disability by me eting these federal and state requirements. 20 CFR 416.920; BEM 260, 261.

DECISION AND ORDER

The Administrative Law Judge, based upon t he above findings of fact and conclusion s of law, decides that the department's actions were correct.

Accordingly, the department's determination in this matter is **UPHELD**.

<u>/s/</u>

Suzanne Administrative L. Morris Law Judge for Maura D. Corrigan, Director Department of Human Services

Date Signed: July 19, 2013

Date Mailed: July 19, 2013

NOTICE: Administrative Hearings may or der a rehearing or reconsideration on either its own motion or at t he request of a party within 30 days of the mailing date of this Decision and Order. Administrative Hear ings will not orde r a rehearing or reconsideration on the Department's mo tion where the final decis ion cannot be implemented within 90 days of the filing of the original request.

The Claimant may appeal the Decision and Order to Circuit Court within 30 days of the mailing of the Decision and Order or, if a timely request for rehearing was made, within 30 days of the mailing date of the rehearing decision.

<u>NOTICE</u>: Claimant may request a re hearing or reconsider ation for the following reasons:

- A rehearing <u>MAY</u> be granted if there is newly discovered evidence that could affect the outcome of the original hearing decision.
- A reconsideration **<u>MAY</u>** be granted for any of the following reasons:
- misapplication of manual policy or law in the hearing decision,
- typographical errors, mathematical errors, or other obvious errors in the hearing decision that effect the substantial rights of the claimant:
- the failure of the ALJ to address ot her relevant iss ues in the hearing decision.

Request must be submitted through the local DHS office or directly to MAHS by mail at Michigan Administrative hearings

Recons ideration/Rehearing Request

P. O. Box 30639

Lansing, Michigan 48909-07322

SLM/las

