STATE OF MICHIGAN MICHIGAN ADMINISTRATIVE HEARING SYSTEM ADMINISTRATIVE HEARINGS FOR THE DEPARTMENT OF HUMAN SERVICES

IN THE MATTER OF:



Reg No.: 2012-46938 Issue No.: 2009 Case No.: Hearing Date: July 9, 2012 Macomb County DHS (20)

ADMINISTRATIVE LAW JUDGE: Colleen M. Mamelka

HEARING DECISION

This matter is before the undersigned Administrative Law Judge pursuant to MCL 400.9 and MCL 400.37 upon the Claimant's request for a hearing. After due notice, a hearing was held in Warren, Michigan on Monday , July 18, 2012. The Claimant appeared, along with the determined of the determined of L & S Assoc iates, Inc. Participating on behalf of the Department of Human Services ("Department") was

During the hearing, the Claimant waived t he time period for the issuance of this decision, in order to allow for the subm ission of additional m edical records. The evidence was received, reviewed, and forwar ded to the State Hearing Review Team ("SHRT") for consideration. On August 22, 2012, this office received the SHRT determination which found the Cla imant not disabled. This matter is now before the undersigned for a final decision.

<u>ISSUE</u>

Whether the Department proper ly determined that the Claimant was not disabled for purposes of the Medical Assistance ("MA-P") benefit program?

FINDINGS OF FACT

The Administrative Law Judge, based on t he competent, material, and substantial evidence on the whole record, finds as material fact:

1. The Claimant submitt ed an application for public assistance seeking MA-P benefits, retroactive to September 2011, on December 15, 2011.

- 2. On January 11, 2012, the Medical Revi ew Team ("MRT") found the Claimant not disabled. (Exhibit 1, p 1)
- 3. The Department notified the Claimant of the MRT de termination on January 24, 2012.
- 4. On April 10, 2012, the D epartment received the Claimant's timely written request for hearing.
- 5. On May 30 th and August 16, 2012, the SHRT fo und the Claim ant not dis abled. (Exhibit 3)
- 6. The Claimant alleged physical dis abling impairments due to back pain, degenerative joint dis ease, knee pain, sh oulder, neck, and hand pain, arthritis, chronic obstructive pulmonary dis ease ("COPD"), angina, neuro pathy, esophageal reflux disease ("GERD"), and sleep apnea.
- 7. The Claimant has not alleged any mental disabling impairment(s).
- 8. At the time of hearing, the Claim ant was 54 years old with a birth date; was 6'3" in height; and weighed approximately 287 pounds.
- 9. The Claimant has a limited education with vocational training and an employment history in repairing machinery and equipment.
- 10. The Claimant's impairments have lasted, or are expected to last, continuously for a period of 12 months or longer.

CONCLUSIONS OF LAW

The Medical Assistance program is established by Subchapter XIX of Chapter 7 of The Public Health & Welfare Act, 42 USC 1397, and is administered by the Department of Human Services, formerly known as the Family Independenc e Agency, pursuant to MCL 400.10 *et seq* and MCL 400.105. Department polic ies are found in the Bridge s Administrative Manual ("BAM"), the Bridges Elig ibility Manual ("BEM"), and the Bridges Reference Tables ("RFT").

Disability is defined as the inability to do any substantial gainful activity by reason of any medically determinable physical or mental im pairment which can be expected to result in death or which has lasted or can be expect ed to last for a continuous period of not less than 12 months. 20 CFR 416.905(a). The person claimi ng a physical or mental disability has the burden to esta blish it through the use of competent medical evidence e from qualified medical sources such as his or her medical history, clinica l/laboratory

findings, diagnosis/prescri bed treatment, prognosis for recovery and/or medical assessment of ability to do work-relate activities o r ability to reason a nd make appropriate mental adjustments, if a mental disab ility is alleged. 20 CFR 413 .913. An individual's subjective pain com plaints ar e not, in and of themselves, sufficient to establish disab ility. 20 CF R 416.908; 2 0 CFR 4 16.929(a) Similarly, conclusory statements by a physician or mental health professional that an individual is disabled or blind, absent supporting medical evidence, is insufficient to establish disability. 20 CFR 416.927.

When determining disability, t he federal regulations require several factors to be considered including: (1) the location/ duration/frequency/intensity of an applicant's pain; (2) the type/dosage/effe ctiveness/side effects of any medication t he applic ant takes to relieve pain; (3) any treatment other than pain medication that the applicant has received to relieve pain; and (4) the e ffect of the applic ant's pain on his or her ability to do basic work activities. 20 CFR 416.929(c)(3). The applicant's pain must be assessed to determine the extent of his or her functional limitation(s) in light of the objective medical evidence presented. 20 CFR 416.929(c)(2).

In order to determine whether or not an individual is disabled, federal regulations require a five-step sequential evaluation process be utilized. 20 CFR 416.920(a)(1). The fivestep analysis requires the trier of fact to cons ider an individual's current work activit y; the severity of the impairment(s) both in duration and whether it meets or equals a listed impairment in Appendix 1; residual functional capacity to det ermine whether an individual can perform past relev ant work; and residual functional I capacity along with vocational factors (i .e. age, education, and work experienc e) to determine if an individual can adjust to other work. 20 CFR 416.920(a)(4); 20 CFR 416.945.

If an individual is found disabled, or not disabled, at any step, a determination or decision is made with no need to evaluate subsequent steps. 20 CFR 416.920(a)(4). If a determination cannot be made that an individual is disabled, or not disabled, at a particular step, the next step is required. 20 CFR 416.920(a)(4) If an impairment does not meet or equal a listed impairment, an indi vidual's residual functional capacity is assessed before moving from step three to step four. 20 CFR 416.920(a)(4); 20 CFR 416.945. Residual f unctional capacity is the most an indiv idual can do d espite the limitations based on all rele vant evidence. 20 CFR 416.945(a)(1). An individual's residual functional capacity ass essment is ev aluated at both steps four and five. 20 CFR 41 6.920(a)(4). In determinin g disa bility, an in dividual's functional c apacity to perform basic work activities is evaluated and if found that the individual has the ability to perform basic work activities without significant limitation, di sability will not be found. 20 CFR 416.994(b)(1)(iv). In general, the indiv idual has t he responsibility to prove disability. 20 CFR 4 16.912(a). An impair ment or combinat ion of impairments is n ot severe if it does not signific antly limit an i ndividual's physical or m ental ability to do basic work activities. 20 CFR 416.921(a). The in dividual has the resp onsibility to

provide evidence of prior work experience; efforts to work; and any other factor showing how the impairment affects the ability to work. 20 CFR 416.912(c)(3)(5)(6).

As outlined above, the first step looks at the i ndividual's current work activity. In the record presented, the Cla imant is not involved in substantial gainful activity therefore is not ineligible for disability benefits under Step 1.

The severity of the Claimant's alleged impa irment(s) is considered under St ep 2. The Claimant bears the burden to pr esent sufficient objective medical evidenc et o substantiate the alleged disa bling impairments. In order to be considered disabled for MA purpos es, the impairment must be se vere. 20 CFR 416. 920(a)(4)(ii); 20 CFR 416.920(b). An impairment, or combination of impairments, is severe if it signific antly limits an in dividual's physical or mental ability to do basic work activities regardless of age, education and work experience. 20 CFR 416.920(a)(4)(ii); 20 CFR 416.920(c). Basic work activities means the abilities and aptitudes necessary to do most jobs. 20 CFR 416.921(b). Examples include:

- 1. Physical f unctions s uch as walking, standing, s itting, lifting, pushing, pulling, reaching, carrying, or handling;
- 2. Capacities for seeing, hearing, and speaking;
- 3. Understanding, carrying out, and remembering simple instructions;
- 4. Use of judgment;
- 5. Responding appropriately to s upervision, co-workers and usua I work situations; and
- 6. Dealing with changes in a routine work setting.
- ld.

The second step allows for dismissal of a di sability claim obviously lacking in medical merit. *Higgs v Bowe n*, 880 F2d 860, 862 (CA 6, 1988). The severity requirement may still be employed as an admin istrative convenience to screen out claims that are totally groundless solely from a medical standpoint. *Id.* at 863 *citing Farris v Sec of Health and Human Se rvices*, 773 F2d 85, 90 n.1 (CA 6, 1985). An impairment qualif ies as non-severe only if, regardless of a claimant's age, education, or work experience, the impairment would not affect the claimant's ability to work. *Salmi v Sec of Health and Human Services*, 774 F2d 685, 692 (CA 6, 1985).

In the present case, the Claimant alleges di sability due to back pain, degenerative joint disease, knee pain, shoulder, neck, and hand pain, arthritis, COPD, angina, neuropathy, GERD, and sleep apnea.

In support of his c laim, progress notes from as early as F ebruary 2005 thr ough May 2012 were submitted whic h docum ent pres cribed pain medication and treatment/diagnoses of knee pain, hip pain hyper tension, degenerative earthritis, asthma, COPD, neck pain, and radiculopathy. Other records, again from as early as 2005 document treatment/diagnoses of anterior chest wall syndrome, arteriosclerotic heart disease, obstructive lung disease, cer vical arthritis, posterior disc bulge at C4-5, posterior end plate os teophytic spurring at C5-6 and C6-7, and f acet degenerative joint disease throughout the cervical spine.

On **Section** the CI aimant was admitted to the ho spital with complaints of abdominal pain and suprapubic pain. Surgery revealed a primar y stricture of the ureter with no distinct mass identified; however, pulmonary nodules and el evated bilirubin was identified. The CI aimant was dischar ged on **Section** noting it was critically important for follow-up care, with the diagnoses of left hydronephrosis and nephropathy, elevated bilirubin, pulmonary nodules, hyperlip idemia, possible lung fibrosis, obstructive uropathy and hematuria, and pelvic mass.

On a fi brotic bronchoscopy wit h bronchial lavage or right middle lobe and transbronchial needle aspirati on of subcarnial lymphaden opathy was performed. Right middle lobe bronchial lav ages from the medial and lateral segments were obtained; however, multiple attempts were made without success to obtain a subcarinal soft tissue specimen. The s pecimens obtained were n egative for malignant cells.

On **example a consultative evalue**, the Claimant att ended a consultative evalue ation. The impressions were hypertension and arthritis of the elbow, wrists, fingers, and knee.

On X-rays of the left knee and hip r evealed d egenerative osteoarthritic changes with narrowing of the medial knee joint compartment and spur formation of the patella alo ng with soft tissue swelling and degenerative os teoarthritic changes of the right hip with narrowing of the right hip joint.

On a pulmonary function te st ("PFT") revealed a Force d Expiratory Volume at 1 second ("FEV₁") of 2.68, 2.76, and 2.81 before bronchod ilator and a Forced Vital Capacity ("FVC") of 3.26, 347, and 3.39. After the bronchodilator the FEV₁ was 2.87, 2.73, and 2.93 and the FV C 3.61, 3.50, and 3.76. The Claimant experienced difficulty performing the test noting coughing in between. T he test interpretation noted poor test quality. A second PFT was performed showing a FEV₁ of 1.94, 1.85, and 1.66 before bronchodilator and a FVC of 2.71, 2.68, and 2.44. After the bronc hodilator the

FEV, was 2.02, 1.87, and 1.79 and the F VC 3.00, 2.92, and 2.83. The interpretation showed low vital capacity possibly due to restriction of lung volume confirming asthma.

As previously noted, the Claim ant bears t he burden to present sufficient objective medical evidence to s ubstantiate the alleged disabling im pairment(s). As summarized above, the Claimant has presen ted some medical evidence establishing that he does have physical limitations on his ability to pe rform basic work activities. The medical evidence has established that the Claimant has an im pairment, or combination thereof, that has more than a *de minimus* effect on the Claimant's basic work activities. Further, the impairments have lasted cont inuously for twelve months; t herefore, the Claimant is not disqualified from receipt of MA-P benefits under Step 2.

In the third step of the seque ntial analysis of a disability claim, the trier of fact must determine if the Claimant's impairment, or co mbination of impairm ents, is listed in Appendix 1 of Sub part P of 20 CF R. Part 404. The evidenc e confirms treatment/diagnoses of knee pain, hip pain , hyper tension, degenerativ e arthritis, asthma, COPD, neck pain, radiculopathy, ches t wall syndrome, arte riosclerotic heart disease, obstructive lung dis ease, cervical arthritis, posterior disc bulge at C4-5, posterior end plate osteophytic spurring at C5-6 and C6-7, facet degenerative joint disease thr oughout the cervical spine, left hydronephr ois and ne phropathy, elevated bilirubin, pulmonary nodules, hy perlipidemia, possible lung fibrosis, obstruct uropathy and hematuria, pelvic mass, arthritis of the elbow, wrist, fingers, and knee, degenerative changes of the knee joint with spur formation, and degenerative arthritic changes in the right hip.

Listing 1.00 (musculoskeletal system), Li sting 3.00 (respiratory system)m Listing 4.00 (cardiovascular system), Listing 5.00 (dig estive system), Listing 13.00 (malignant neoplastic diseases), and Listing 14.00 (immune system disorders), were considered in light of the objective evidence. Althoug h the objective medical records establish multiple s erious p hysical imp airments, considered individu ally, the Cla imant's impairments do not meet the in tent and severity requirem ents of a listing, or its equivalent. Accordingly, the Claimant can not be found disabled, or not disabled, at Step 3.

Before considering the fourth step in t he sequential analys is, a determination of the individual's residual functional capacity ("RFC") is made. 20 CFR 416.945. An individual's RFC is the most he/she can still do o n a sustained bas is despite th e limitations from the impairment(s). *Id.* The total limiting effects of all the impairments, to include those that are not severe, are considered. 20 CFR 416.945(e).

To determine the physical demands (exertional requirements) of work in the national economy, jobs are classified as sedentary, light, medium, heavy, and very heavy. 2 0 CFR 416.967. Sedentary work involves lifting of no more than 10 pounds at a time and

occasionally lifting or carrying articles like docket files, ledgers, and small tools. 20 CFR 416.967(a). Although a sedentary j ob is defined as one which involves sitting, a certain amount of walking and standing is often necessary in carrying out job duties. Id. Jobs are sedentary if walking and standing are r equired occasionally and other sedentary criteria are met. Light work involves lifting no more than 20 pounds at a time with frequent lifting or carrying objects weighing up to 10 pounds. 20 CFR 416.967(b). Even though weight lifted may be very little, a job is in this category when it requires a good deal of walking or standing, or when it invo lves sit ting most of the time with some pushing and pulling of arm or leg controls. *Id.* To be considered capable of performing a full or wide range of light work, an individual must have the ability to do substantially all of these activities . *Id.* An individual capable of light work is also capable of sedentary work, unless there are additionally limiting factors such as loss of fin е dexterity or inability to sit for long periods of time. *Id.* Medium work involves lifting no more than 50 pounds at a time with frequent lifting or carrying of objects weighing up to 25 pounds. 20 CFR 416.967(c). An individual capable of performing medium work is also capable of light and sedentary work. Id. Heavy work involves lifting no more than carrying of object s weighing up to 50 100 pounds at a tim e with frequent lifting or pounds. 20 CFR 416.967(d). A n individual capable of heavy work is also c apable of medium, light, and sedentary work. Id. Finally, very heavy work involves lifting objects weighing more than 100 pounds at a time with frequent lifting or carrying objects weighing 50 pounds or more. 20 CFR 416.967(e). An individual capable of very heavy work is able to perform work under all categories. Id.

Limitations or restrictions which affect the ability to meet the demands of jobs other than strength demands (exertional requirements, i.e. sitting, standing, walk ing, lifting, carrying, pushing, or pulling) are consider ed nonexertional. 20 CFR 416.969a(a). In considering whether an individual can perform past relevant work, a comparis on of the individual's residual functional c apacity with the demands of past relevant work. ld. If an individual can no longer do past relevant work the same residual functional capacity assessment along with an individual's a ge, education, and work experience is considered to determine whether an individual can adjust to other work which exists in the national economy. Id. Examples of non-exertional limitations or restrictions include difficulty function due to nervousness, anxiousness, or depression; difficulty maintaining attention or concentration; difficulty understanding or remembering detailed instructions; difficulty in seeing or hearing; difficulty tole rating some physical f eature(s) of certain work settings (i.e. can't tolera te dust or fumes); or difficulty performing the manipulative or postural functions of some work such as reaching, handling, stooping, climbing, crawling, or crouching. 20 CFR 416.969a(c)(1)(i) - (vi). If the impairment(s) and related symptoms, such as pain, only affect the ability to perform the non-e xertional aspects of work-related activities, the rules in Appendi x 2 do n ot direct factual conclusions o f disabled or not disabled. 20 CFR 416. 969a(c)(2). The determination of whether disability e xists is b ased upon the princi ples in the appropriate sections of the

regulations, giving consideration to the rules for specific case situat ions in Appendix 2. *Id.*

onfirms trea tment/diagnoses of knee pain, hip pain, In this case, the evidence c hypertension, degenerative arth ritis, asthma, COPD, neck pain, radiculopathy, chest wall syndrome, arteriosclerotic heart disease, obstructive lung disease, cervical arthritis, posterior disc bulge at C4-5, posterior end plate osteophytic spurring at C5-6 and C6-7, facet degenerative joint disease throughout t he cervical spine, left hydronephrois and nephropathy, elevated bilirub in, pulmonary nodules, hyperlipid emia, possible lung fibrosis, obstruct uropathy and hematuria, pel vic mass, arthritis of the elbow, wrist, fingers, and knee, degenerative changes of the knee joint with spur formation, and degenerative arthritic changes in t he right hip. The Claimant te stified that he can walk short distances; grip/grasp with difficulty due to arthritis; sit for 25 minutes; lift/carry 5 pounds; stand for 10 minutes; and is able to bend but unable to squat. The objective findings do not contain specific limitations. After review of the entire record to includ e the Claimant's testimony and personal observati on, it is found that, at this time, the Claimant is able to maintain the physical and mental demands necessary to perform sedentary work as defined by 20 CFR 416.967(a).

The fourth step in analyzing a dis ability claim requires an assessment of the Claimant's residual f unctional capacity ("RFC") and pas t relevant employment. 20 CF R 416.920(a)(4)(iv). An individual is not disabled if he/she can perform past relevant work. *Id*.; 20 CFR 416.960(b)(3). Past relevant work is work that has been performed within the past 15 years that was a substantial gainful activity and that lasted long enough for the individual to lear n the position. 20 CF R 416.960(b)(1). Vocational fact ors of age, education, and work experience, and whet her the past relevant employment exists in significant numbers in the national economy is not considered. 20 CFR 416.960(b)(3).

The Claimant's prior work history consists of employment in re pairing machinery and equipment. If the impairment or combination of impair ments does not limit physical or mental ability to do basic work activities, it is not a severe impairment(s) and disability does not exist. 20 CFR 416.920. In consi deration of the Claimant's testimony and the Occupational Code, the Claimant's prior work is considered semi-skilled, light work. In light of the foregoing, it is found that the Claimant is unable to perform past relevant work.

In Step 5, an assessment of the individua I's residual functional capac ity and age, education, and work experience is consider ed to determine whet her an adjustment to other work can be made. 20 CFR 416.920(4)(v). At the time of hearing, the Claimant was 54 years old thus consider ed to be cl osely approaching advanced age for MA-P purposes. The Claimant has a li mited education. Dis ability is found if an individual is unable to adjust to other work. *Id.* At this point in the analysis, the burden shifts from the Claimant to the Department to present proof that the Claimant has the residu al

capacity to substantial gainfu I employment. 20 CFR 416.960(2); *Richardson v Sec of Health and Human Services*, 735 F2d 962, 964 (CA 6, 1984). While a vocational expert is not required, a finding supported by subs tantial evidence that the indiv idual has the vocational qualifications to perform specific jobs is needed to meet the burden. *O'Banner v Sec of Health and Human Services*, 587 F2d 321, 323 (CA 6, 1978). Medical-Vocational guidelines found at 20 CFR Subpart P, Appendix II, may be used to satisfy the burden of proving that the individual can perform specific jobs in the nationa I economy. *Heckler v Campbell*, 461 US 458, 467 (1983); *Kirk v Secretary*, 667 F2d 524, 529 (CA 6, 1981) *cert den* 461 US 957 (1983).

In this case, the objective findings confirm treatment/diagnoses of knee pain, hip pain, hypertension, degenerative arth ritis, asthma, COPD, neck pain, radiculopathy, chest wall syndrome, arteriosclerotic heart disease, obstructive lung disease, cervical arthritis, posterior disc bulge at C4-5, posterior end plate osteophytic spurring at C5-6 and C6-7, facet degenerative joint disease throughout t he cervical spine, left hydronephrois and nephropathy, elevated bilirub in, pulmonary nodules, hyperlipid emia, possible lung fibrosis, obstruct uropathy and hematuria, pel vic mass, arthritis of the elbow, wrist, fingers, and knee, degenerative changes of the knee joint with spur formation, and degenerative arthritic changes in the right hip. Pursuant to the evidence, the Claimant is limited to sedentary work, at best. A fter review of the en tire record, and in consideration of the Claimant's age, educ ation, work experience, and RFC, and using the Medical-Vocational Guidelines [20 CFR 404, Subpart P, Ap pendix II] as a guide, specifically Rule 201.10, it is found that the Claimant is disabled for purposes of the MA-P program at Step 5.

DECISION AND ORDER

The Administrative Law Judge, based on the above findings of fact and conclusions of law finds the Claimant disabled for purposes of the MA-P and SDA benefit program.

Accordingly, It is ORDERED:

- 1. The Department's determination is REVERSED.
- 2. The Department shall initiate processing of the December 15, 2011 application, retroactive to September 2011, to determine if all other nonmedical criteria are m et and inform t he Claimant and his Au thorized Hearing Representative of the determination in accordance with Department policy.
- 3. The Department shall supplement for any lost lost benefits (if any) that the Claimant was entitled to receive if otherwise eligib le and qualifie d in accordance with Department policy.

4. The Department shall review the Claimant's continued elig ibility in accordance with Department policy in October 2013.

Collein M. Mamilka

Colleen M. Mamelka Administrative Law Judge For Maura Corrigan, Director Department of Human Services

Date Signed: September 12, 2012

Date Mailed: September 12, 2012

NOTICE: Michigan Administrative Hearing Syst em (MAHS) may order a rehearing or reconsideration on either its own motion or at the request of a par ty within 30 days of the mailing date of this Dec ision and Order . MAHS will not order a rehearing or reconsideration on the Department's mo tion where the final decis ion cannot be implemented within 90 days of the filing of the original request. (60 days for FAP cases)

The Claimant may appeal the Decision and Order to Circuit Court within 30 days of the receipt of the Decision and Order or, if a ti mely request for rehearing was made, within 30 days of the receipt date of the rehearing decision.

Claimant may request a rehearing or reconsideration for the following reasons:

- A rehearing **MAY** be granted if there is newly discovered evidence that could affect the outcome of the original hearing decision.
- A reconsideration **MAY** be granted for any of the following reasons:
 - misapplication of manual policy or law in the hearing decision,
 - typographical errors, mathematical error, or other obvious errors in the hearing decision that effect the substantial rights of the claimant:
 - the failure of the ALJ to address other relevant issues in the hearing decision.

Request must be submitted through the local DHS office or directly to MAHS by mail at Michigan Administrative Hearings Re consideration/Rehearing Request

consideration/Rehearing Request P. O. Box 30639 Lansing, Michigan 48909-07322

CMM/cl

