STATE OF MICHIGAN MICHIGAN ADMINISTRATIVE HEARING SYSTEM ADMINISTRATIVE HEARINGS FOR THE DEPARTMENT OF HUMAN SERVICES

IN THE MATTER OF:



Reg. No:2011-17264Issue No:2009Case No:1000Hearing Date:May 12, 2011Mackinac County DHS

ADMINISTRATIVE LAW JUDGE: Carmen G. Fahie

HEARING DECISION

This matter is before the undersigned Admini strative Law Judge pursuant to MCL 400.9 and MCL 400.37 upon claimant's request for a hearing. After due notic e, a telephone hearing was held on Thursday, May 12, 2011. Claimant per sonally appeared and testified on his own behalf.

ISSUE

Did the department properly de ny the claimant's applicat ion for Medical Assistance (MA-P)?

FINDINGS OF FACT

The Administrative Law Judge, based upon t he competent, material, and substantial evidence on the whole record, finds a material fact:

- 1. On September 28, 2010, the claimant applied for MA-P.
- 2. On November 30, 2010, the Medical Re view Team (MRT) denied the c laimant's application for MA-P and retroac tive MA-P stating that the claimant's impairment lacks duration of 12 months per 20 CFR 416.909.
- 3. On February 3, 2011, the department caseworker sent the claimant a notice that his application was denied.
- 4. On January 31, 2011, the department received a hearing request from the claimant, contesting the department's negative action.

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- 5. On February 18, 2011, the State Hearing Review T eam (SHRT) considered the submitted objective medical evidence in making its determination of MA-P, SDA, and retroactive MA-P for the claimant. T he claimant is with a high school education and a history of semi-skilled work. He alleges disability due to stroke symptoms. The cl aimant's impairments do not meet/equal the intent or severity of a Social Security listing. The medical evidence on the record indicates that the claimant's c ondition is improv ing or is expected to improve within 12 months from the date of application. His c ondition is not expected to prev ent all types of w ork for 12 months in a row. Therefore, MA-P is denied due to lack duration of 12 months per 20 CF R § 416.909. Retroactive MA-P was considered in this case and is also denied.
- 6. During the hearing on May 12, 2011, the claimant requested permission to submit additional medical information that needed to be reviewed by SHRT. Additional medical information was received from the local office and forwarded to SHRT for review on May 12, 2011.
- 7. On May 19, 2011, the SHRT c onsidered the newly submitted objective medic al evidence in making its determination of MA -P, SDA, and retroactive MA-P. The claimant is **sectore** with a high school education and a history of semi-skilled work. He a lleges d isability d ue to stroke symptoms. The claim ant's impairments do not meet/equal the intent or severity of a Social Security lis ting. The medic al evidence on the record indicates that the claimant retains the capacity to perform light work. Theref ore, based on the claimant's vocational profile (closely approaching adv anced age individual, high school education and history of semi-skilled work), MA-P is denied using Voc ational Rule 202.14 as a guide. Retroactive MA-P was considered in this case and is also denied.
- 8. The claimant is a ______. The claimant is a ______. The claimant is ______. The claimant has a high school diploma. The claimant can read and write and do basic math. The claimant was last employed as an excavator truck driver at the heavy level in September 2010, which is his pertinent work history.
- 9. The claim ant's alleged impair ments ar e stroke symptoms from a stroke in September 2010.

CONCLUSIONS OF LAW

The Medic al Assistance (MA) program is est ablished by the Title XIX of the Socia I Security Act and is implemented by Title 42 of the Code of Federal Regulations (CFR). The Department of Human Services (formerly known as the Family Independ ence Agency) administers the MA program pursuant to MCL 400.10, *et seq*., and MC L 400.105. Department polic ies are found in the Program Admini strative Manual (PAM), the Program Eligibility Manual (PEM) and the Program Reference Manual (PRM). "Disability" is:

...the inability to do any substant ial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months.... 20 CFR 416.905.

...We follow a set order to determine whether y ou are disabled. We review any current work activity, the severity of your impairment(s), your resi dual functional capacity, your past work, and your age, educati on and work experience. If we can find that you are disabled or not disabled at any point in the review, we do not review your claim further.... 20 CFR 416.920.

...If you are working and the work you are doing is substantial gainful activity, we will find that you are not disabled regardless of your m edical condition or your age, education, and work experience. 20 CFR 416.920(b).

...[The impairment]...must have lasted or must be expect ed to last for a continuous period of at least 12 months. We call this the duration requirement. 20 CFR 416.909.

...If you do not have any impairment or combination of impairments which significantly limits your physical or mental ability to do basic work activities, we will find that you do not have a severe impairment and are, therefore, not disabled.

We will not consider your age, education, and work experience. 20 CFR 416.920(c).

[In reviewing your impairment]...We need reports about your impairments from acceptable m edical sources.... 20 CFR 416.913(a).

...Statements about your pain or other symptoms will not alone establish that you are disabled; there must be medical signs and laboratory findings which s how that you have a medical impairment.... 20 CFR 416.929(a).

...You must provide medical evidence showing that you have an impairment(s) and how severe it is during the time you say that you are disabled. 20 CFR 416.912(c). ... [The re cord must show a severe impairment] which significantly limits your physical or mental ability to do basic work activities.... 20 CFR 416.920(c).

... Medical reports should include --

- (1) Medical history.
- (2) Clinical findings (suc h as the results of physical or mental status examinations);
- (3) Laboratory findings (such as blood pressure, X-rays);
- (4) Diagnosis (statement of disease or injury based on its signs and symptoms).... 20 CFR 416.913(b).

...The med ical evidence...mus t be complete and detailed enough to allow us to make a determination about whether you are disabled or blind. 20 CFR 416.913(d). Medical findings c onsist of symptoms, signs, and laboratory findings:

- (a) **Sy** mptoms are your own description of your physica I or mental impairment. Your statements alone are not enough to establish t hat there is a physical or mental impairment.
- (b) Signs are anatomical, physiological, or psychological abnormalities which can be observed, apart from your statements (symptoms). Signs must be shown by medically acceptable clinic al diagnostic techniques . Psychiatric signs are medically demonstrable phenomena which indicate specific psychological abnormalities e.g., abnormalities of behav ior, mood, thought, memory, orientation, development, or perception. They must al so be shown by observable facts that can be medically described and evaluated.
- (c) Laboratory findings are anatomical, physiological, or psychological phenomena which can be shown by the use of medically ac ceptable laboratory diagnostic techniques. Some of these diagnostic techniques include chemical tes ts, el ectrophysiological studies (electrocardiogram, elec troencephalogram, etc.), roentgenological studies (X -rays), and psy chological tests. 20 CFR 416.928.

It must allow us to determine --

- (1) The nature and limiting effects of your impairment(s) for any period in question;
- (2) The probable duration of your impairment; and
- (3) Your residual functional capac ity to do w ork-related physical and mental activities. 20 CFR 416.913(d).

Information from other sour ces may also help us to understand how y our impairment(s) affects your ability to work. 20 CFR 416.913(e).

...You can only be found disabled if you are unable to do any substantial gainful activity by reason of any medically determinable physical or ment al impairment which can be expected to result in death, or which has lasted or can be expected to last for a continuous period of not less t han 12 months. See 20 CFR 416.905. Your impairment must result from anatomical, physiologi cal, or psyc hological abnormalities which are demonstrable by medically acceptable clinical and laborat ory diagnostic techniques.... 20 CFR 416.927(a)(1).

...Evidence that you submit or that we obtain may contain medical opinions. Medical op inions are statements from physicians and psyc hologists or other acceptable medical sources that reflect judgments about the nature and severity of your impairment(s), includ ing your symptoms, diagnosis and prognosis, what you can still do desp ite impairment(s), and your physical or mental restrictions. 20 CFR 416.927(a)(2).

...In deciding whether you are disabled, we will alway s consider the medical opinions in your case record together with the rest of the relevant evidence we receive. 20 CFR 416.927(b).

After we review all of the evi dence relevant to your claim , including medical opinions, we make findings about what the evidence shows. 20 CFR 416.927(c).

...If all of the evidence we re ceive, inclu ding a II medica I opinion(s), is consistent, and there is sufficient evidence for us to decide whether you are disabled, we will make our determination or decision based on that evidence. 20 CF R 416.927(c)(1).

...If any of the evidence in y our case record, including any medical opinion(s), is inconsistent with other evidence or is internally inconsistent, we will weigh all of the evidence e and see whether we can decide wh ether you are disabled based on the evidence we have. 20 CFR 416.927(c)(2).

[As Judge]...We are responsible for making the determination or decision ab out whether you meet the statutory definition of disability. In so doing, we review all of the medic al findings and other evidence that support a medical source's statement that you are disabled.... 20 CFR 416.927(e).

...A statement by a medical source that you are "disabled" or "unable to work" does not mean t hat we will determine that you are disabled. 20 CFR 416.927(e).

...If you have an im pairment(s) which meets the duration requirement and is listed in Appendix 1 or is equal to a listed impairment(s), we will find y ou disabled wit hout considering your age, education, and work experience. 20 CFR 416.920(d).

...If we cannot make a decision on your current work activities or medical facts alone and you have a s evere impairment, we will then review your residual functional capacity and the physical and m ental demands of the work you have done in the past. If you can still do this k ind of work, we will find th at you are not disa bled. 20 CF R 416.920(e).

If you cannot do any work you have done in the past because you have a severe impairment(s), we will consider your residual functional capacity and your age, educ ation, and past work experience to see if you can do other work. If you cannot, we will find you disabled. 20 CFR 416.920(f)(1).

...Your residual functional capacit y is what you can still do despite limitations. If you have more than one impairment, we will consider all of your impairment(s) of which we are aware. We will consider your ability to meet certain demands of jobs, such as physical demands, mental demands, sensory requirements, and other functions, as described in paragraphs (b), (c) and (d) of this section. Residual functional capacity is an assess ment based on all of the relevant evidence.... 20 CFR 416.945(a).

...This assessment of your remaining capacity for work is not a decision on whether you are disabled, but is used as the basis for determining the particular types of work you may be able to do despite your im pairment(s).... 20 CF R 416.945(a).

...In determining whether you ar e disabled, we will conside r all of your symptoms, including pain, and the extent to which your symptoms can reasonably be accepted as cons istent with objective medical eviden ce, and other evidence.... 20 CFR 416.929(a).

...In evaluating the intensity and persistence of your symptoms, inc luding pain, we will cons ider all of the available evidenc e, including your medical history, the medical signs and laboratory findings and statements about how your symptoms affect you... We will then determine the extent to whic h y our allege d functional limitations or restrictions due to pain or other symptoms can reasonably be accept ed as c onsistent with the medical signs and laboratory findings and other evidence to decide how your symptoms affect your ability to work.... 20 CFR 416.929(a).

If you have more than one impairment, we will consider all of your impairments of which we ar e aware. We will consider your ability to meet certain demands of jobs, such as physical demands, mental dem ands, sensory requirements, and other f unctions as described in paragr aphs (b), (c) and (d) of this section. Resi dual functional capacity is an assessment based upon all of the relevant evidence. This assessment of your capacity for work is not a decision on whether you are disabled but is used as a basis for determining the particular types of work you may be able t o do despite your impairment. 20 CFR 416.945.

...When we assess your physical abilities, we first assess the nature and extent of your physical lim itations and then determine your residual functional capacity for work activity on a regular and continuing bas is. A limited ability to perform certain physical demands of work activity, such as sitting, standing, walking, lifting, carrying, pushing, pulling, or other physical functions (includi ng manip ulative or postural functions, such as reaching, handling, stooping or crouching), may reduce your ability to do past work and other work. 20 CFR 416.945(b).

Federal regulations r equire that the department use t he same operative definition for "disabled" as used for Supplemental Security Income (SSI) under Title XVI of the Social Security Act. 42 CFR 435.540(a).

"Disability" is:

...the inability to do any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months ... 20 CFR 416.905

In determining whether an indiv idual is disabled, 20 CFR 4 16.920 requires the trier of fact to follow a sequential evaluation process by which current work activity, the severity of the impairment(s), residual f unctional c apacity, and vocational factors (i.e., age, education, and work experience) are ass essed in that order. When a determination that an individual is or is not disable ed c an be made at any step in the sequential evaluation, evaluation under a subsequent step is not necessary.

First, the trier of fact must determine if t he indiv idual is working and if the work is substantial gainful activity. 20 CFR 416.920(b). At Step 1, the claimant is not engaged in substantial gainful activity and has not worked since September 2010. Therefore, the claimant is not disqualified from receiving disability at Step 1.

Secondly, in order to be considered disabled for purposes of MA, a person must have a severe impairment. 20 CF R 416.920(c). A sev ere impair ment is an impairment which significantly limits an in dividual's physical or mental ability to perform basic work activities. Basic work activities means, the abilities and aptitudes necessary to do most jobs. Examples of these include:

- (1) Physical functions such as walking, standing, sitting, lifting, pushing, pulling, reaching, carrying or handling;
- (2) Capacities for seeing, hearing, and speaking;
- (3) Understanding, carrying out, and remembering simple instructions;
- (4) Use of judgment;
- (5) Responding appropriately to supervision, co-workers and usual work situations; and
- (6) Dealing with changes in a routine work setting. 20 CFR 416.921(b).

The purpose of the second st ep in the sequential ev aluation process is to screen out claims lacking in medical merit. *Higgs v. Bowen* 880 F2d 860, 862 (6th Cir, 1988). As a result, the department may only screen out clai ms at this level which are "totally groundless" solely from a medical standpoint. The *Higgs* court used the severity requirement as a " *de minimus* hurdle" in the disability determination. The *de minimus* standard is a provision of a law that allows the court to disregard trifling matters.

The objective medical evidence on the record further substantiates the Administrative Law judge findings. On Sept ember 24, 2010, the c laimant was admitted to Norther n Michigan Regional Hospital with a discharge date of October 7, 2010 as a result of an acute cerebrovascular accident (CVA), past hi story of stenosis, previous left carotid occlusion requiring s tent, bradycardia, co ntinued alcohol and tobacco abuse, and medical noncompliance. He had run out of his medications and not refilled them. The claimant condition on discharge was impaired, but stable. Department Exhibit 175-179 and 244-246.

On November 17, 2010, the cl aimant's treating physician submitted a progress report on the claimant's behalf. He was doing better since the stroke in September 2010. The claimant had a syncope a week or two ago. He still has a mild, slurred speech and weakness on the left side. The claimant h ad continued to smoke ½ a pack a day of cigarettes. The claimant still had some stenosis. Department Exhibit 1260-261.

On March 23, 2011, the claimant received a right stent in his distal carotid artery and discharged on March 30, 2011. The claimant continues to drink and smoke and us es marijuana. He improved with treatment. The claimant was to continue on medications. He was released in stable condition. Department Exhibit c-l.

At Step 2, the objective medica I evidence in the record indic ates that the claimant has established that he has a severe impairmen t. The claimant had a st roke in September 2010 and required additional s urgery for a right stent in March 2011. The claimant tolerated the procedure well. However, he continued to be noncompliant in his smoking and drinking. Therefore, the claimant is not disqualified from receiving disability at Step 2. Howev er, this Administrative Law Judge will proceed through the sequentia I evaluation process to determine disability because Step 2 is a *de minimus* standard.

In the third step of the sequentia I consideration of a disab ility claim, the tri er of fact must determine if the claimant's impairment (or combination of impairments) is listed in Appendix 1 of Subpart P of 20 CFR, Part 404. This Administrative Law Judge finds that the claimant's medical record will not support a finding that claimant's impairment(s) is a "listed impairment" or equal to a listed impairment. See Ap pendix 1 of Sub part P of 20 CFR, Part 404, Part A. Accordingly, claimant cannot be found to be disabled based upon medical evidence alone. 20 CFR 416.920(d). This Administrative Law Judge finds that the claimant's impairment s do not rise to the level necessary to be listed as disabling by law. Therefore, t he claimant is disqualified from receiving dis ability at Step 3.

In the fourth step of the sequent ial consideration of a disability claim, the trier of fact must determine if the claimant's impairment (s) prevents claim ant from doing past relevant work. 20 CFR 416.920(e). It is the finding of this Admini strative Law Judge, based upon the medical eviden ce and objective, physical and psychological findings that the claimant does perform most of his daily liv ing activities. The claimant felt that his condition has remained the s ame because he can just get by. The claimant stated that he has no mental impairment. The clai mant does smoke one pack of c igarettes a day. The claimant does drink alcohol occa sionally. The claimant does not know when he stopped using pot. The claimant did not feel there was any work he could do.

At Step 4, this Administrative Law Judge finds that the claimant has established that he cannot per form any of his prior work. The claimant was previously employed as an excavator truck driver at the heavy level in September 2010. The claimant has physical limitations from his stroke in September 2010. Therefore, the claimant is not disqualified from receiving disa bility at Step 4. Howev er, the Administrative Law Judge will still proceed through the sequential evaluation process to determine whether or not the claimant has the residual functional c apacity to perform some other less strenuous tasks than in his prior jobs.

In the fifth step of the seque ntial consideration of a disability claim, the trier of fact must determine if the claimant's impairment(s) prevents claimant from doing other work. 20 CFR 416.920(f). This determination is based upon the claimant's:

- residual functional capacit y defined simply as "what can you still do despite yo u lim itations?" 20 CF R 416.945;
- (2) age, educ ation, and wo rk experience, 20 CF R 416.963-.965; and
- (3) the kinds of work which exist in significant numbers in the national economy which the claimant could perform despite his/her limitations. 20 CFR 416.966.

...To determine the physical exertion requirements of work in the national economy, we classify jobs as sedentary, light, medium, heavy, and very heavy. These terms have the same meaning as they have in the Dictionary of <u>Occupational Titles</u>, published by the Department of Labor.... 20 CFR 416.967.

Sedentary w ork. Sedentary work involves lifting no more than 10 pounds at a time and occa sionally lifting or carrying articles like docket files, ledgers, and small tools. Although a sedentary job is defined as one which in volves sitting, a certain amount of walking and standing is often necessary in

carrying out job duties. Jobs are sedentary if wa lking and standing are required occasionally and other sedentary criteria are met. 20 CFR 416.967(a).

Light w ork. Light work involves lifting no more than 20 pounds at a time with frequent lifting or carrying of objects weighing up to 10 pounds. Even though the weight lifted may be very little, a job is in this category when it requires a good deal of walk ing or standing, or when it involves sitting most of the time with some pushing and pulling of arm or leg controls... 20 CFR 416.967(b).

...To be considered capable of performing a full or wide range of light work, you must have the ability to do substantially all of these acti vities. If someone can do light work, we determine that he or she can also do sedentary work, unless there are additional limiting factors such as loss of fine dexterity or inability to sit for long periods of time. 20 CFR 416.967(b).

Unskilled work. Unskilled work is work which needs little or no judgment to do s imple duties that can be learned on the job in a short period of time. The job may or may not require considerable strength.... 20 CFR 416.968(a).

The objective medical evidence on the record is sufficient that t he claimant lacks the residual functional capacity to perform so me other I ess strenuous tasks than in his previous employment or that he is physic ally unable to do any tasks demanded of him. The claimant's testimony as to his limitation indicates his limitations are exertional.

At Step 5, the claima nt can meet the physical require ments of light work, based upon the claimant's physic al abilities. Under t he Medical- Vocational guidelines, a closely approaching advance d aged in dividual with a high sc hool ed ucation, and semi-skille d work history, who is limited to light work , is not considered disabled. 20 CFR 404, Subpart P, Appendix 2, Rule 202.14. Using the Medical- Vocational guidelines as a framework for making this decision and after gi ving full consideration to the claimant's physical impairments, the Administrative Law Judge finds that the claimant could perform simple, unsk illed, light work and that the claimant does not meet the definition of disabled under the MA program.

DECISION AND ORDER

The Administrative Law Judge, based upon t he above findings of fact and conclusion s of law decides that the department ent has appropriately establis hed that it was acting in compliance with department poli cy when it denied the c laimant's application for MA-P, SDA, and retroactive MA-P. The claimant is capable of performing simple, unskilled,

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light work. The department has establis hed its case by a preponderance of the evidence.

Accordingly, the department's decision is AFFIRMED.

<u>/s/</u>

Carmen G. Fahie Administrative Law Judge For Maura D. Corrigan, Director Department of Human Services

 Date Signed:
 April 6, 2012

 Date Mailed:
 April 6, 2012

NOTICE: Administrative Hearings may or der a re hearing or reconsideration on either its own motion or at t he request of a party within 30 days of the mailing date of this Decision and Order. Administrative Hear ings will not orde r a rehearing or reconsideration on the Department's mo tion where the final decis ion cannot be implemented within 90 days of the filing of the original request.

The Claimant may appeal the Decision and Order to Circuit Court within 30 days of the mailing of the Decision and Order or, if a timely request for rehearing was made, within 30 days of the receipt date of the rehearing decision.

CGF/ds

