#### STATE OF MICHIGAN STATE OFFICE OF ADMINISTRATIVE HEARINGS AND RULES ADMINISTRATIVE HEARINGS FOR THE DEPARTMENT OF HUMAN SERVICES

# IN THE MATTER OF:



Reg No.200934743Issue No.2009Case No.1000Load No.1000Hearing Date:November 4, 2009Bay County DHS

# ADMINISTRATIVE LAW JUDGE: Carmen G. Fahie

# HEARING DECISION

This matter is before the undersigned Administrative Law Judge pursuant to MCL 400.9 and MCL 400.37 upon the c laimant's request for a hearing. After due notice, an in-person hearing was held on Wednes day, November 4, 2009. The claimant personally appeared and testified with her authorized representative,

# <u>ISSUE</u>

Did the department properly de ny the claimant's applicat ion for Medical Assistance (MA-P) and retroactive Medical Assistance?

#### FINDINGS OF FACT

The Administrative Law Judge, based upon t he competent, material, and substantial evidence on the whole record, finds a material fact:

- 1. On January 22, 2009, the claimant applied for MA-P and retroactive MA-P to October 2008.
- 2. On Januar y 27, 2009, the Medica I Rev iew Team (MRT) denied the claimant's application for MA-P and re troactive MA-P stating that the claimant had a non-severe impairment per 20 CFR 416.920(c).
- 3. On January 30, 2009, the department caseworker sent the claimant a notice that his application was denied.

- 4. On May 4, 2009, the department received a hearing request from the claimant, contesting the department's negative action.
- 5. On September 17, 2009, the St ate Hearing Rev iew Team (SHRT) considered the submitted objective medical ev idence in making its determination of MA- P and retroactive MA-P e ligibility for the claimant. The SHRT report reads in part:

The claim ant is 52 year s old with 12 years of education and an unskilled wor k history. Disability is alleged due to gastrointesti nal b leed, low back pain, shortness of breath, and muscular weakness. The claimant did not meet applicable Soc ial Security Listings 5.01, 1.01, and 3.01. The claimant's alleged impairments lack duration per 20 CFR 416.909.

- 6. During the hearing on Novem ber 4, 2009, the claimant requested permission to submit additional m edical information that needed to be reviewed by SHRT. Additional m edical information was received from the local office on J anuary 21, 2010 and forwarded to SHRT for review on January 22, 2010.
- 7. On January 29, 2010, the SHRT considered the newly submitted objective medical evidence in making its determination of MA-P and retroactive MA-P. The SHRT report reads in part:

The claimant is 52 years old and alleges disability due to gastrointestinal bleed, low back pain, sh ortness of breath, and muscular weaknes s. He has a high school education and a history of unskilled work.

The claimant has a long history of alcohol abuse. In October 2008 he was admitte d due to a gastrointestinal bleed. An esophagogastroduodenoscopy showed a single esophageal varix, but no acti ve bleed. In J uly 2009, the claimant had an esophagea I stricture which was dilated. T he current evidence is insufficient to evaluate any of the other allegations

Additional medical information is suggested to assess the severity of the cl aimant's impairment(s). Please obtain a complete physical examination, by a licensed physician in narrative format. MA-P is denied per 20 CFR 416.913(d), insufficient evidence. Retroactive MA-P was considered in this case and is also denied.

- 8. On March 3, 2010, this Administ rative Law Judge and eceived notice from the department caseworker that the claimant was a no call, no show for his scheduled physical exam on February 17, 2010 as ordered by SHRT. (Department Exhibit D-E)
- 9. On May 10, 2010, the department case worker sent the Administrative Law Judge and **Sector 1**. a fax st ating that the claimant was onc e again for the second time a no call, no show for his medical evaluation on May 5, 2010 and they were unable to reschedule. (Department Exhibit F-H)
- 10. The claimant is a 53 year-old man whos e date of birth is The claimant is 5' 10½" ta ll and weighs 145 pounds. The claimant has lost 40 pounds in t he past year because he can't retain food, hospitalization, and surgery. The claimant has a high school education. The claimant can r ead and write and do basic math. The claimant was last employed as a maintenance worker in 2001 at the heavy level. The claimant has also been employed as a carpenter at the heavy level and plumber at the heavy level.
- 9. The claimant's alleged impairm ents are low back pain, gast rointestinal bleed October 2008, herni a, CO PD, cirrho sis, muscular weakness, and gastroesophageal reflux disease (GERD).

# CONCLUSIONS OF LAW

The Medic al Assistance (MA) program is est ablished by the Title XIX of the Socia I Security Act and is implemented by Title 42 of the Code of Federal Regulations (CFR). The Department of Human Services (formerly known as the Family Independ ence Agency) administers the MA program pursuant to MCL 400.10, *et seq*., and MC L 400.105. Department polic ies are found in the Program Admini strative Manual (PAM), the Program Eligibility Manual (PEM) and the Program Reference Manual (PRM).

"Disability" is:

...the inability to do any substant ial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months.... 20 CFR 416.905.

...We follow a set order to determine whether y ou are disabled. We review any current work activity, the severity of your impairment(s), your residual functional capacity, your past work, and your age, education and work experience. If we can find that you are disabled or not disabled at any point

in the review, we do not review your claim further.... 20 CFR 416.920.

...If you are working and the work you are doing is substantial gainful activity, we will find that you are not disabled regardless of your m edical condition or your age, education, and work experience. 20 CFR 416.920(b).

...[The impairment]...must have lasted or must be expect ed to last for a continuous period of at least 12 months. We call this the duration requirement. 20 CFR 416.909.

...If you do not have any impairment or combination of impairments which significantly limits your physical or mental ability to do basic work activities, we will find that you do not have a severe impairment and are, therefore, not disabled.

We will not consider your age, education, and work experience. 20 CFR 416.920(c).

[In reviewing your impairment]...We need reports about your impairments from acceptable m edical sources.... 20 CFR 416.913(a).

...Statements about your pain or other symptoms will not alone establish that you are disabled; there must be medical signs and laboratory findings which s how that you have a medical impairment.... 20 CFR 416.929(a).

...You must provide medical evidence showing that you have an impairment(s) and how severe it is during the time you say that you are disabled. 20 CFR 416.912(c).

... [The re cord must show a severe impairment] which significantly limits your physical or mental ability to do basic work activities.... 20 CFR 416.920(c).

... Medical reports should include --

- (1) Medical history.
- (2) Clinical findings (suc h as the results of physical or mental status examinations);
- (3) Laboratory findings (such as blood pressure, X-rays);
- (4) Diagnosis (statement of disease or injury based on its signs and symptoms).... 20 CFR 416.913(b).

...The med ical evidence...mus t be complete and detailed enough to allow us to make a determination about whether you are disabled or blind. 20 CFR 416.913(d).

Medical findings consist of symptoms, signs, and laboratory findings:

- (a) **Sy** mptoms are your own description of your physical or mental impairment. Your statements alone are not enough to establish t hat there is a physical or mental impairment.
- (b) Signs are anatomical, physiological, or psychological abnormalities which can be observed, apart from your statements (symptoms). Signs must be shown by medically acceptable clinic al diagnostic techniques . Psychiatric signs are medically demonstrable phenomena which indicate specific psychological abnormalities e.g., abnormalities of behav ior, mood, thought, memory, orientation, development, or perception. They must al so be shown by observable facts that can be medically described and evaluated.
- (c) Laboratory findings are anatomical, physiological, or psychological phenomena which can be shown by the use of medically ac ceptable laboratory diagnostic techniques. Some of these diagnostic techniques include chemical tes ts, el ectrophysiological studies (electrocardiogram, elec troencephalogram, etc.), roentgenological studies (X -rays), and psy chological tests. 20 CFR 416.928.

It must allow us to determine --

- (1) The nature and limiting effects of your impairment(s) for any period in question;
- (2) The probable duration of your impairment; and
- (3) Your residual functional capac ity to do w ork-related physical and mental activities. 20 CFR 416.913(d).

Information from other sour ces may also help us to understand how y our impairment(s) affects your ability to work. 20 CFR 416.913(e). ...You can only be found disabled if you are unable to do any substantial gainful activity by reason of any medically determinable physical or ment al impairment which can be expected to result in death, or which has lasted or can be expected to last for a continuous period of not less t han 12 months. See 20 CFR 416.905. Your impairment must result from anatomical, physiologi cal, or psyc hological abnormalities which are demonstrable by medically acceptable clinical and laborat ory diagnostic techniques.... 20 CFR 416.927(a)(1).

...Evidence that you submit or that we obtain may contain medical opinions. Medical op inions are statements from physicians and psyc hologists or other acceptable medical sources that reflect judgments about the nature and severity of your impairment(s), includ ing your symptoms, diagnosis and prognosis, what you can still do despite impairment(s), and your physical or mental restrictions. 20 CFR 416.927(a)(2).

...In deciding whether you are disabled, we will alway s consider the medical opinions in your case record together with the rest of the relevant evidence we receive. 20 CFR 416.927(b).

After we review all of the evi dence relevant to your claim , including medical opinions, we make findings about what the evidence shows. 20 CFR 416.927(c).

...If all of the evidence we re ceive, inclu ding a II medica I opinion(s), is consistent, and there is sufficient evidence for us to decide whether you are disabled, we will make our determination or decision based on that evidence. 20 CF R 416.927(c)(1).

...If any of the evidence in y our case record, including any medical opinion(s), is inconsistent with other evidence or is internally inconsistent, we will weigh all of the evidenc e and see whether we can decide wh ether you are disabled based on the evidence we have. 20 CFR 416.927(c)(2).

[As Judge]...We are responsible for making the determination or decision ab out whether you meet the statutory definition of disability. In so doing, we review all of the medic al findings and other evidence that support a

medical source's statement that you are disabled.... 20 CFR 416.927(e).

...A statement by a medical source that you are "disabled" or "unable to work" does not mean t hat we will determine that you are disabled. 20 CFR 416.927(e).

...If you have an im pairment(s) which meets the duration requirement and is listed in Appendix 1 or is equal to a listed impairment(s), we will find y ou disabled wit hout considering your age, education, and work experience. 20 CFR 416.920(d).

...If we cannot make a decision on your current work activities or medical facts alone and you have a s evere impairment, we will then review your residual functional capacity and the physical and m ental demands of the work you have done in the past. If you can still do this k ind of work, we will find th at you are not disabled. 20 CF R 416.920(e).

If you cannot do any work you have done in the past because you have a severe impairment(s), we will consider your residual functional capacity and your age, education, and past work experience to see if you can do other work. If you cannot, we will find you disabled. 20 CFR 416.920(f)(1).

...Your residual functional capacit y is what you can still do despite limitations. If you have more than one impairment, we will consider all of your impairment(s) of which we are aware. We will consider your ability to meet certain demands of jobs, such as physical demands, mental demands, sensory requirements, and other functions, as described in paragraphs (b), (c) and (d) of this section. Residual functional capacity is an assessment based on all of the relevant evidence.... 20 CFR 416.945(a).

...This assessment of your remaining capacity for work is not a decision on whether you are di sabled, but is used as the basis for determining the particular types of work you may be able to do despite your im pairment(s).... 20 CF R 416.945(a).

...In determining whether you ar e disabled, we will conside r all of your symptoms, including pain, and the extent to which your symptoms can reasonably be accepted as cons istent with objective medical eviden ce, and other evidence.... 20 CFR 416.929(a).

...In evaluating the intensity and persistence of your symptoms, inc luding pain, we will cons ider all of the available evidenc e, including your medical history, the medical signs and laboratory findings and statements about how your symptoms affect you... We will then determine the extent to whic h y our allege d functional limitations or restrictions due to pain or other symptoms can reasonably be accept ed as c onsistent with the medical signs and laboratory findings and other evidence to decide how your symptoms affect your ability to work.... 20 CFR 416.929(a).

If you have more than one impairment, we will consider all of your impairments of which we ar e aware. We will consider your ability to meet certain demands of jobs, such as physical demands, mental dem ands, sensory requirements, and other f unctions as described in paragr aphs (b), (c) and (d) of this section. Resi dual functional capacity is an assessment based upon all of the relevant evidence. This assessment of your capacity for r work is not a decision on whether you are disabled but is used as a basis for determining the particular types of work you may be able t o do despite your impairment. 20 CFR 416.945.

...When we assess your physical abilities, we first assess the nature and extent of your physical lim itations and then determine your residual functional capacity for work activity on a regular and continuing bas is. A limited ability to perform certain physical demands of work activity, such as sitting, standing, walking, lifting, carrying, pushing, pulling, or other physical functions (includi ng manip ulative or postural functions, such as reaching, handling, stooping or crouching), may reduce your ability to do past work and other work. 20 CFR 416.945(b).

Federal regulations r equire that the department use t he same operative definition for "disabled" as used for Supplemental Security Income (SSI) under Title XVI of the Social Security Act. 42 CFR 435.540(a).

"Disability" is:

...the inability to do any substantial gainful activity by reason of any medically determinable physical or mental impairment which can be expected to result in death or which has lasted or can be expected to last for a continuous period of not less than 12 months ... 20 CFR 416.905

In determining whether an indiv idual is disabled, 20 CFR 4 16.920 requires the trier of fact to follow a sequential evaluation process by which current work activity, the severity of the impairment(s), residual f unctional c apacity, and vocational factors (i.e., age, education, and work experience) are ass essed in that order. When a determination that an individual is or is not disabled c an be made at any step in the sequential evaluation, evaluation under a subsequent step is not necessary.

First, the trier of fact must determine if t he indiv idual is working and if the work is substantial gainful activity. 20 CFR 416.920(b). At Step 1, the claimant is not engaged in substantial gainful activity and has not worked since 2001. Therefore, the claimant is not disqualified from receiving disability at Step 1.

Secondly, in order to be considered disabled for purposes of MA, a person must have a severe impairment. 20 CF R 416.920(c). A sev ere impair ment is an impairment which significantly limits an in dividual's physical or mental ability to perform basic work activities. Basic work activities means, the abilities and aptitudes necessary to do most jobs. Examples of these include:

- (1) Physical functions such as walking, standing, sitting, lifting, pushing, pulling, reaching, carrying or handling;
- (2) Capacities for seeing, hearing, and speaking;
- (3) Understanding, carrying out, and remembering simple instructions;
- (4) Use of judgment;
- (5) Responding appropriately to supervision, co-workers and usual work situations; and
- (6) Dealing with changes in a routine work setting. 20 CFR 416.921(b).

The purpose of the second st ep in the sequential ev aluation process is to screen out claims lacking in medical merit. *Higgs v. Bowen* 880 F2d 860, 862 (6<sup>th</sup> Cir, 1988). As a result, the department may only screen out clai ms at this level which are "totally groundless" solely from a medical standpoint. The *Higgs* court used the severity requirement as a " *de minimus* hurdle" in the disability determination. The *de minimus* standard is a provision of a law that allows the court to disregard trifling matters.

The objective medical evidence on the record further substantiates the following:

On the claimant had explorat ory surgery at to rule out the poss ibility of ulc er diseas e and probability of reflux esophagitis. There were no complications. The claimant tolerated the procedure well and left the operating room in satisfactory condition. The claimant had an underlying esophageal stricture, which likely could be responsible for his symptoms of recurrent vomiting. The treatment recommended was an anti-reflux treatment program where the claimant would take Prilosec and Zantac. (Department Exhibit B1-B2)

On the end of the claimant saw his treating physician as a result of vomiting and heavy stomach acid. The cl aimant had a normal physicial examination where the treating physician's assessment was GERD and he recommended medication with die t and exercise. (Department Exhibit 16)

, the claimant was se en at On with a discharge date of . T he claimant's discharge diagnosis wa S esophageal varix, a GI bleed stable, chr onic alcohol dependence, thrombocytopenia, and cirrhosis of the liver. The claimant was admitted to the hospital with mas sive upper GI bleed and was vomiting bloo d. He was admitted to t he intensive c are unit and hemoglobin was monitored closely. His hemoglobin was 9.1 with hematocrit of 25.9 on admission. The claimant's hemoglobin went down to 7.7 on where he used two units of packed red blood cells. The claimant was given a GI consultation that ers, but di d show esophageal varix, but did not show any bleeding ulc no active bleeding. The claimant wa s discharged home in s table condition with folic acid thiamine, Prilosec, and multivitamin medications. (Department Exhibit 11-12)

At Step 2, the objective medica I evidence in the record indic ates that the claimant has established that he has a s evere impairmen t. The claimant was admitted on to the hospital for massive upper gastrointestinal bleeding, but was in stable condition. The claimant has continued to have issues with released on the GERD and gastritis as cited by his treat ing physician on where he was treated with medication. On the claimant u nderwent exploratory surgery that showed an under lying esophageal stricture where the claimant was recommended for anti-reflux treatment progr am. The claimant had a flare-up that required hospitalization and su bsequent treatment, but it is not expected to last more than 12 months, which would make the claim ant not meet the criteria for meeting ceiving disability at Step duration. Therefore, the clai mant is disgualified from re 2. However, this Administrative Law Judge will proceed through the sequenti al evaluation process to determine disability because Step 2 is a de minimus standard.

In the third step of the sequentia I consideration of a disab ility claim, the tri er of fact must determine if the claimant's impairment (or combination of impairments) is listed in Appendix 1 of Subpart P of 20 CFR, Part 404. This Administrative Law Judge finds that the claimant's medical record will not support a finding that claimant's impairment(s) is a "listed impairment" or equal to a listed impairment. See Ap pendix 1 of Sub part P of 20 CFR, Part 404, Part A. Accordingly, claimant cannot be found to be disabled based upon medical evidence alone. 20 CFR 416.920(d). This Administrative Law Judge finds

that the claimant's impairment s do not rise to the level necessary to be listed as disabling by law. Therefore, t he claimant is disqualified from receiving dis ability at Step 3.

In the fourth step of the sequent ial consideration of a disability claim, the trier of fact must determine if the claimant's impairment (s) prevents claim ant from doing past relevant work. 20 CFR 416.920(e). It is the finding of this Admini strative Law Judge, based upon the medical eviden ce and objective, physical and psychological findings that the claimant does not have a driver's license and does not drive as a result of two DUIs in 1999 and 2001. The cl aimant does not cook becaus e he loses track and burns the pan when he forgets. The claimant d oes not grocery shop because he has a problem walking. The claimant does clean his own home by picking up. The claimant doesn't do any outside. His hobby is watching TV. The claimant felt that h is condition has worsened in the past year because he is having a problem keeping food down, weakness, and dizziness. The claimant st ated that he did not have any menta Т impairment.

The claimant wakes up at 4:00 a.m. He sits with his girlfriend. He watches the news in bed. He goes back to sleep. He gets up at 7:00 a.m. He stays in the room. He lets the dog out. He picks up. He goes f rom the sofa to a chair and back to the bedroom. He talks on the telephone. He goes to bed at 9:00 p.m.

The claimant felt that he could walk 20-30 feet. The longest he felt he could stand was 2 minutes. The longest he felt he could sit was 10-15 minutes. The heaviest weight he felt he could c arry was 2-3 pounds. The claim ant is right-handed. The claimant's level of pain on a scale from 1 to 10 without medication is a 10 that decreases to a 2-3 with medication.

The claimant does s moke a pack of cigarettes a day. He stopped drink ing alcohol on October 28, 2008 where before he would drink one- fourth of vodka a day. The claimant stopped s moking marijuana 10 years ago. The claimant did not think ther e was any work he could do.

At Step 4, this Administrative Law Judge finds that the claimant has established that he cannot perform any of his prior work. The claim ant has lost 40 pounds in the past year as a result of his gastrointestinal issues. He was previously employed as a maintenance worker, carpenter, and plumber at the heavy level. The claimant may have a difficult time performing heav y work, but should be able to perform at least medium work. Therefore, the claimant s hould be able to perform hi s employment at the medium to light lev el. Therefore, the clai mant is disqualified from rece iving disability at Step 4. However, the Administrative Law Judg e will still proceed th rough the sequential evaluation process to determine whether or not the claimant has the residual functional capacity to perform some other less strenuous tasks than in his prior jobs.

In the fifth step of the sequential consideration of a disability claim, the trier of fact must determine if the claimant's impairment(s) prevents claimant from doing other work. 20 CFR 416.920(f). This determination is based upon the claimant's:

- (1) residual functional capacit y defined simply as "what can you still do despite yo u lim itations?" 20 CF R 416.945;
- (2) age, educ ation, and wo rk experience, 20 CF R 416.963-.965; and
- (3) the kinds of work which exist in significant numbers in the national economy which the claimant could perform despite his/her limitations. 20 CFR 416.966.

...To determine the physical exertion requirements of work in the national economy, we classify jobs as sedentary, light, medium, heavy, and very heavy. These terms have the same meaning as they have in the Dictionary of <u>Occupational Titles</u>, published by the Department of Labor.... 20 CFR 416.967.

**Sedentary w ork**. Sedentary work involves lifting no more than 10 pounds at a time and occa sionally lifting or carrying articles like docket files, ledgers, and small tools. Although a sedentary job is defined as one which in volves sitting, a certain amount of walking and standing is often necessary in carrying out job duties. Jobs are sedentary if wa Iking and standing are required occasionally and other sedentary criteria are met. 20 CFR 416.967(a).

**Light w ork**. Light work involves lifting no more than 20 pounds at a time with frequent lifting or carrying of objects weighing up to 10 pounds. Even though t he weight lifted may be very little, a job is in this category when it requires a good deal of walk ing or standing, or when it involves sitting most of the time with some pus hing and pulling of arm or leg controls.... 20 CFR 416.967(b).

...To be considered capable of performing a full or wide range of light work, you must have the ability to do substantially all of these activities. If someone can do light work, we determine that he or she can also do sedentary work, unless there are additional limiting factors such as loss of fine dexterity or inability to sit for long periods of time. 20 CFR 416.967(b). 200934743/CGF

**Medium work**. Medium work involves lifting no more than 50 pounds at a time with frequent lifting or carrying of objects weighing up to 25 pounds. If someone can do medium work, we determine that he or she can also do sedentary and light work. 20 CFR 416.967(c).

The objective medical evidence on the record is insufficient that the claimant lacks the residual functional capacity to perform so me other I ess strenuous tasks than in his previous employment or that he is physic ally unable to do any tasks demanded of him. The claimant's testimony as to his limitations indicates his limitations are exertional.

At Step 5, the claimant s hould be able to meet the physical requirements of medium work, based upon the claimant's physical abilities. Under the Medical-Vocational guidelines, a closely approaching advanced age individual with a high school education, and an unskilled and skilled work history, who is limited to medium work, is not considered disabled. 20 CF R 404, Subpart P, Appendix 2, Rule 203.22. Using the Medical-Vocational guidelines as a framework for making this decision and after giving full consideration to the claimant's physical impairments, the Administrative Law Judg e finds that the claimant can still p erform a wide range of medium activities and that the claimant does not meet the definition of disabled under the MA program.

# **DECISION AND ORDER**

The Administrative Law Judge, based upon t he above findings of fact and conclusion s of law decides that the department has appropriately established that it was acting in compliance with department policy when it denied the claimant's application for MA-P and retroactive MA-P. The claimant should be able to perform any level of medium work. The department has established its case by a preponderance of the evidence.

Accordingly, the department's decision is **AFFIRMED**.

Carmen G. Fahie Administrative Law Judge For Maura D. Corrigan, Director Department of Human Services

Date Signed:	
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Date Mailed:

**NOTICE:** Administrative Hearings may or der a re hearing or reconsideration on either its own motion or at t he request of a party within 30 days of the mailing date of this Decision and Order. Administrative Hear ings will not orde r a rehearing or reconsideration on the Department's mo tion where the final decis ion cannot be implemented within 90 days of the filing of the original request.

The Claimant may appeal the Decision and Order to Circuit Court within 30 days of the mailing of the Decision and Order or, if a timely request for rehearing was made, within 30 days of the receipt date of the rehearing decision.



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